

## Select with duplicates

Let  $S$  be a set of (not necessarily distinct) integers.

Let  $x_1, x_2, \dots, x_n$  be the elements of  $S$  ordered so that  $x_i < x_j$ .


Then  $x_k$  is the  $k^{\text{th}}$  smallest element of  $S$ .

(If confusion descends ... recall the basic issue.)

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## Select( $S, k$ ) When $S$ has duplicates

Lower bound:

Finding the min requires at least  $n-1$  comparisons (no assumptions of distinctness) 

Any algorithm that finds the  $k^{\text{th}}$  smallest element is  $\Omega(n)$

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## Select( $S, k$ ) When $S$ has duplicates

Choose  $x$  from  $S$

Let  $S_1 = \{y \in S \mid y < x\}$

Let  $S_2 = \{y \in S \mid y > x\}$

Let  $S_3 = \{y \in S \mid y = x\}$

If  $\|S_1\| \geq k$  then return Select( $S_1, k$ )

Else if  $\|S_1\| + \|S_3\| \geq k$  then return  $x$

Else return Select( $S_2, k - \|S_1\| - \|S_3\|$ )

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## Selection Rule

Choose  $x \in S$

Random: Choose  $x \in_R S$

Deterministic: Divide  $S$  into groups of 5

Find the median of each group

Let  $x$  be the median of medians

Is there any confusion on how these algorithms work when duplicates are allowed?

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## Analysis Regardless of selection rule

• Recurrence:  $T(n) \leq T(\max(\|S_1\|, \|S_3\|)) + cn$

• In worst case  $\|S_2\|=1$ .

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## Rank

• Let  $S$  be a set of integers

• For  $x \in S$ ,  $\text{rank}(x) = \|\{y \in S \mid y \leq x\}\|$

$x$  is the  $k^{\text{th}}$  smallest element of  $S$

$x = \min\{y \in S \mid \text{rank}(y) \geq k\}$

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## Rank

- Let  $S$  be a set of **distinct** integers
- For  $x \in S$ ,  $\text{rank}(x) = ||\{y \in S \mid y \leq x\}||$

$x$  is the  $k^{\text{th}}$  smallest element of  $S$



$$\text{rank}(x) = k$$

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## Ranking

- Let  $S$  be a set of distinct integers
- Questions:
  - Which element in  $S$  has rank  $k$ ?
  - For  $x$  in  $S$ , what is  $\text{rank}(x)$ ?
  - Is  $x$  in  $S$  and, if so, what is its rank?

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## Search Problems

- Input: Set of integers  $S$  and integer  $x$
- Question: Is  $x$  an element of  $S$ ?

### MORE GENERALLY

- Input: Set of “keyed” records  $S$  and integer  $x$
- Question: Is there a record in  $S$  with  $\text{key}=x$ ?

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## DYNAMIC Search Problems

- Input: Set of “keyed” records  $S$
- Operations:
  - Add record to  $S$
  - Delete record from  $S$
  - Find record in  $S$  with  $\text{key}=x$  (if one exists)

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## Dictionary Data Structure

Data structure that supports add, delete, find for set of keyed records.

Binary search tree

Balanced binary search tree

General search tree

Hash Table

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## Binary Search Tree for S

- $T$  is a binary tree
- 1-1 relationship between the nodes in  $T$  and the records in  $S$
- **BST Property:** For any node  $X$  in  $T$ 
  - For any node  $Y$  in the left subtree of  $X$ ,  $Y.\text{key} \leq X.\text{key}$
  - For any node  $Y$  in the right subtree of  $X$ ,  $Y.\text{key} \geq X.\text{key}$

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## Keeping a good balance ...

- Search trees:  $O(n)$  time per operation
- “Balanced trees” insure  $O(\log n)$  time per operation.
- Different approaches to balance:
  - Red/black trees
  - 2-3 trees
  - AVL trees

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## Rank

- How can we add rank-finding to the dictionary data structure?

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