

Prim's Algorithm

Choose a vertex $w \in V$
 $F = \{w\}$
 While $V - F \neq \emptyset$
 Let e be a minimum weight edge that
 emerges from F
 $F = F + \{e\}$

CS140 21-1

Prim's Algorithm

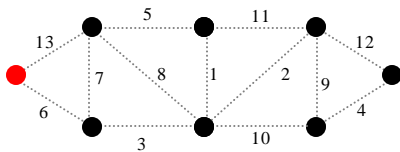
Choose a vertex $w \in V$
 $F = \{w\}$
 While $V - F \neq \emptyset$
 Let e be a minimum weight edge that
 emerges from F
 $F = F + \{e\}$

$e = (u, v)$ where $u \in F$ and $v \notin F$

CS140 21-2

Prim's Algorithm - example

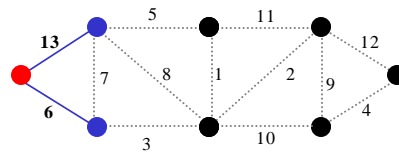
- Put some vertex in F :



CS140 21-3

Prim's example cont.

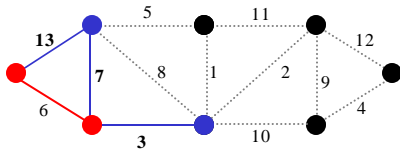
Choose minimum weight edge that emerges from F .



CS140 21-4

Prim's example cont.

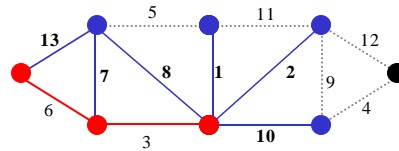
Choose minimum weight edge that emerges from F .



CS140 21-5

Prim's example cont.

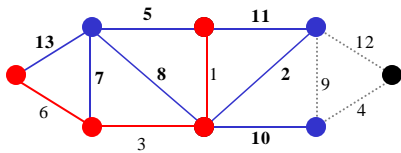
Choose minimum weight edge that emerges from F .



CS140 21-6

Prim's example cont.

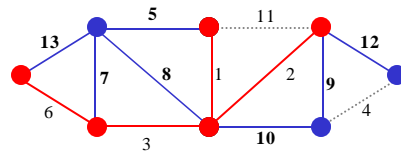
Choose minimum weight edge that emerges from F.



CS140 21-7

Prim's example cont.

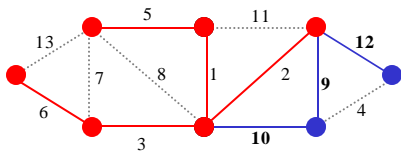
Choose minimum weight edge that emerges from F.



CS140 21-8

Prim's example cont.

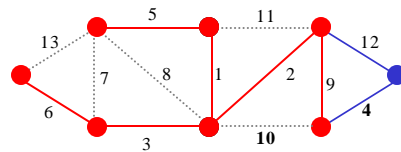
Choose minimum weight edge that emerges from F.



CS140 21-9

Prim's example cont.

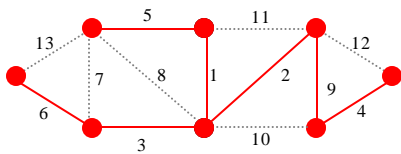
Choose minimum weight edge that emerges from F.



CS140 21-10

Prim's example cont.

Choose minimum weight edge that emerges from F.



CS140 21-11

Prim's Algorithm

- Is it correct?
- Is it efficient?

CS140 21-12

Claim

- At each point in the algorithm F is a subgraph of some MST of G .

CS140 21-13

Loop Invariant

Choose a vertex $w \in V$

$F = \{w\}$ Claim is True here.

While $V - F \neq \emptyset$

Let e be a minimum weight edge that emerges from F

$F = F + \{e\}$

CS140 21-14

Loop Invariant

If Claim is True here.



Choose a vertex $w \in V$

$F = \{w\}$

While $V - F \neq \emptyset$

Let e be a minimum weight edge with one but not both endpoints in F

$F = F + \{e\}$



Then it is true here.

CS140 21-15

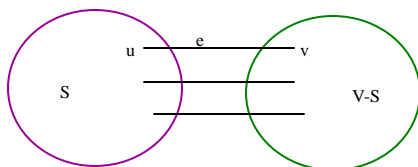
Proof of Loop Invariant

- Let T be a MST of G that contains F .
- Assume $e = (u, v)$ is chosen to add to F .
- If e is an edge of T we are done so assume not.

CS140 21-16

And again the same old story

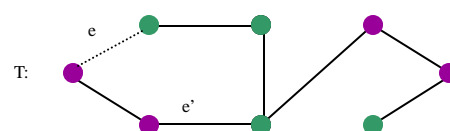
- e is a minimum weight edge spanning the cut $(S, V - S)$, where S is the set of vertices in F



CS140 21-17

Yada yada ...

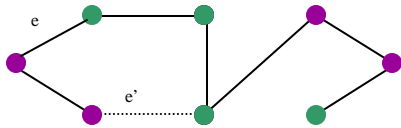
- $T + \{e\}$ contains exactly one cycle.
- Some other edge in the cycle also spans the cut $(S, V - S)$



CS140 21-18

Etc.

- $T+\{e\}-\{e'\}$ is a MST of G that contains $F+\{e\}$.



CS140 21-19

And so ...

- At each point in the algorithm F is a subgraph of some MST of G .
- But when the algorithm concludes, F is a spanning tree of G . Hence F is a MST of G .

CS140 21-20

Prim's Algorithm

- Is it correct? Yes!
- **Is it efficient?**

CS140 21-21

Prim's Algorithm Running Time: $O(n(?))$

Choose a vertex $w \in V$

$F=\{w\}$

While $V-F \neq \emptyset$

Let e be a minimum weight edge that emerges from F

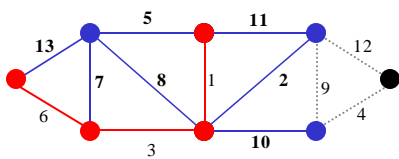
$F=F+\{e\}$

How should we implement this?

CS140 21-22

Consider naïve approach first...

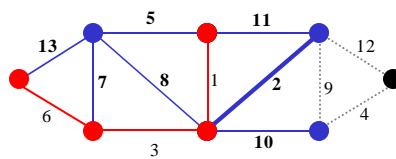
- Keep list of emerging edges ordered by weight: 2,5,7,8,10,11,13



CS140 21-23

Choose edge to add to F

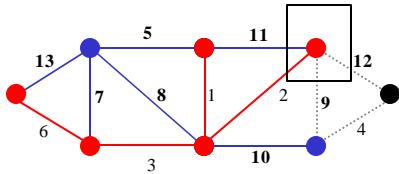
- List: 2,5,7,8,10,13
- Choose first edge in list to add to F .



CS140 21-24

Add edge to F

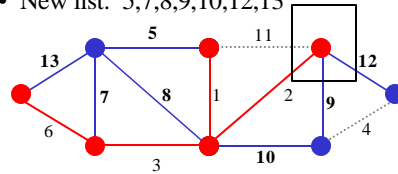
- And of course its other endpoint



CS140 21-25

Update list

- Old list: 2,5,7,8,10,13
- Remove 2, 11 and Add 9,12
- New list: 5,7,8,9,10,12,13



CS140 21-26

Prim's Algorithm Running Time: $O(nm)$

Choose a vertex $w \in V$

$F = \{w\}$

While $V - F \neq \emptyset$

Let e be a minimum weight edge that
emerges from F

$F = F + \{e\}$

Naïve approach $O(m)$

CS140 21-27

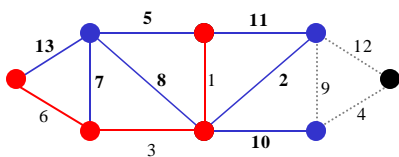
Prim's Algorithm

- Is it correct? Yes!
- **Is it efficient?**
 - Remember Kruskal's algorithm is $O(m \lg(m))$.
 - How does $O(nm)$ compare to $O(m \lg(m))$?

CS140 21-28

Can we improve on the naïve approach?

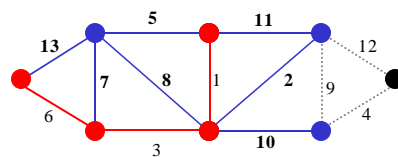
- Do we really need to keep track of all of the emerging edges?



CS140 21-29

Fringe vertices

- v is a fringe vertex if it is in $V - F$ and it is connected by an edge to a vertex in F



CS140 21-30

Decrease key

- Next homework assignment: Design decrease key algorithm for heaps that runs in time $O(\lg(n))$.

CS140 21-37

Prim's Algorithm Running Time

Choose a vertex $x \in V$

$F = \{x\}, H = \emptyset$

For each $e = (u, x)$: **Insert**

While $H \neq \emptyset$

[u, e] = **Extract-min**(H)

Add u and e to F

For each edge incident to u : **Insert or Decrease-key** or do nothing

CS140 21-38

Prim's Algorithm Running Time

- Cost of heap operations:
 - Extract-min n $O(\lg(n))$ each
 - Insert n $O(\lg(n))$ each
 - Decrease-key m $O(\lg(n))$ each
 - Do nothing m $O(1)$ each
- Total time is $O(m \lg(n))$

CS140 21-39

Prim's Algorithm Running Time

- Cost of heap operations:
 - Extract-min n $O(\lg(n))$ each
 - Insert n $O(\lg(n))$ each
 - Decrease-key $m-n$ $O(\lg(n))$ each
 - Do nothing m $O(1)$ each
- Total time is $O(m \lg(n))$
- Compared to Kruskal's $O(m \lg(m))$...

CS140 21-40

They are asymptotically the same

...



CS140 21-41

But Wait ... Suppose we could
decrease-key in time $O(1)$

- Cost of heap operations:
 - Extract-min n $O(\lg(n))$
 - Insert n $O(\lg(n))$
 - Decrease-key m ~~$O(\lg(n))$~~ $O(1)$
 - Do nothing m $O(1)$
- Then total time is $O(m + n \lg(n))$
- Compared to Kruskal's $O(m \lg(m))$...

CS140 21-42

Bravo, bravo ...



CS140.21-43

Do It With Fibonacci Heaps

Huh?



Don't worry – it works!

CS140.21-44