

CS140: Algorithms

Z Sweedyk
Lecture 16

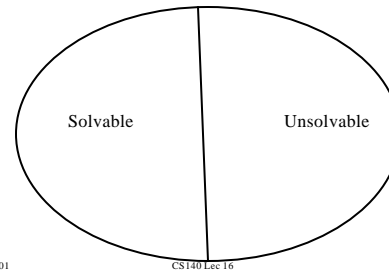
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The world as we know it ...

All computational problems



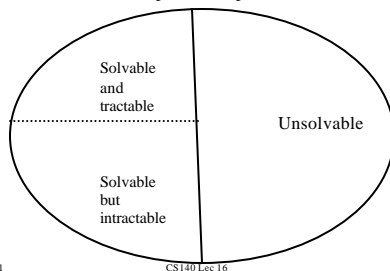
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The world as we know it ...

All computational problems



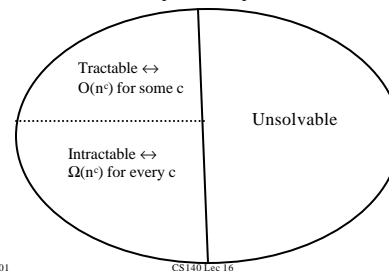
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The world as we know it ...

All computational problems



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Why polynomial?

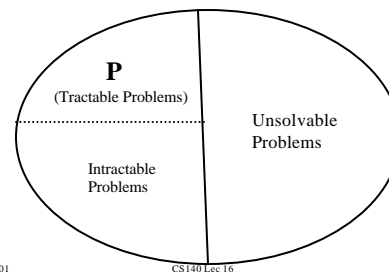
- Someone said so...
- It makes a lot of sense...

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The world as we know it ...



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What's in P?

Every decision problem that has a polynomial-time algorithm: e.g.

- Is the list S of integers sorted in ascending order?
- Is the graph G connected?
- Does graph G have a MST with cost K or less?
- Does tree T have a vertex cover of size K or less?

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What about Search problems?

- We'll come back to that.

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What is not in P?

- Recognizing true statements in Presburger arithmetic
- The circularity problem for attribute grammars
- Inequivalence for regular expressions with squaring
- And others

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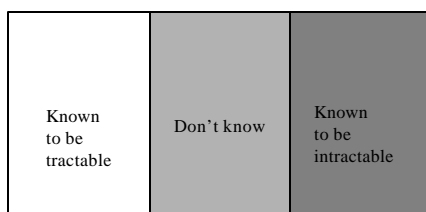
Huh?

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The world of solvable problems...

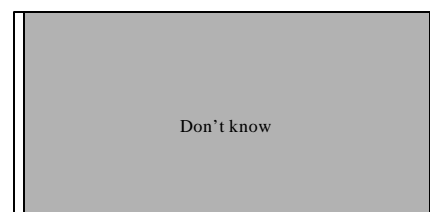


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The world of solvable problems...as it seems

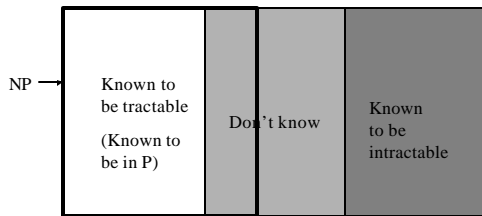


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NP: all tractable + some don't know

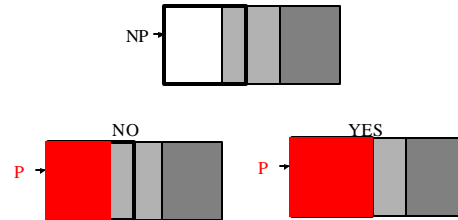


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Is $P=NP$?



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About NP

- P is in NP
- Some of NP is in don't know
- NP as a class has some nice properties
- NP is the smallest class containing some don't knows that has these properties

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What is NP ?

- NP is the class of decision problems that have polynomial-time verifiable proofs.
- HUH?

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Formal Language Theory

- A language over an alphabet Σ is a subset of Σ^*
- Examples for $\Sigma=\{0,1\}$
 - $\{01,0101,010101,\dots\}$
 - $\{0, 11, 110, 1001, \dots\}$
 - \emptyset
 - Σ^*

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Some classes of languages

- Regular
- Context-free
- Recursive
- Recursive-enumerable

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Language classes

- Language classes are typically defined by the computational power needed to answer membership queries:

Is x in L ?

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Some classes of languages

- Regular – Finite Automata
- Context-free – Pushdown Automata
- Recursive – Turing machine
- Recursive-enumerable – Turing machines can answer yes but not necessarily no.

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Turing machine

- A simple model of a computer:
 - Finite state machine
 - R/W tape
 - Can be programmed to follow simple rules

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Church-Turing thesis

- Any physically-realizable computing device can be modeled with at most polynomial-time blowup by a randomized Turing machine.

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Note

- Church-Turing thesis may be disproved by quantum computers if they are found to be
 - Physically realizable
 - Provably more powerful than traditional Turing machines

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More classes

- Membership questions can be answered by resource-bounded Turing machines
 - Limit time
 - Limit space
 - Limit randomness

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P

- Membership questions can be answered by resource-bounded Turing machines
 - Limit time – polynomial
 - Limit space
 - Limit randomness – none

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More classes

- Membership questions can be answered by resource-bounded **non-deterministic** Turing machines
 - Limit time
 - Limit space
 - Limit randomness

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NP

- Membership questions can be answered by resource-bounded **non-deterministic** Turing machines
 - Limit time - polynomial
 - Limit space
 - Limit randomness - none

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Decision Problems

- Computational problems in which the output is Yes or No.
- Decision problems can be posed as membership queries.

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Vertex Cover

Input space: G, k

| |
|---|
| <p>Yes instances</p> <p>(G, k) such that G has a vertex cover of size k</p> |
| <p>No instances</p> <p>(G, k) such that G does not have a vertex cover of size k</p> |

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Vertex Cover

Input space: G, k

| |
|---|
| <p>Yes instances</p> <p>(G, k) such that G has a vertex cover of size k</p> |
| <p>No instances</p> <p>(G, k) such that G does not have a vertex cover of size k</p> |

Encode (G, k) as a binary strings

Input space: valid encodings

| |
|---|
| <p>Yes instances</p> <p>x: such that x encodes a yes-instance of V.C.</p> |
| <p>No instances</p> <p>x: such that x encodes a no-instance of V.C.</p> |

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Language over $\{0,1\}^*$

| | |
|---|-------------------|
| <p>Yes instances</p> <p>x: such that x encodes a yes-instance of V.C.</p> | Invalid encodings |
| <p>No instances</p> <p>x: such that x encodes a no-instance of V.C.</p> | |

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Encoding Rule

- It is easy to determine whether or not a binary string is a valid encoding.
- A problem of size n can be encoded in $\text{poly}(n)$ bits.



- Notion of tractability is preserved.

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Our outlook

- Natural problems
 - Ignore coding issue unless it matters
- Decision version
 - What about search?
- Objective to distinguish tractable from intractable

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Shopping Bag Problem

n items of weight at most B

- Natural problems
 - **Ignore coding issue unless it matters**

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Shopping Bag Problem

n items of weight at most B

- Natural problems
 - Ignore coding issue unless it matters
 - **Coding clarifies what we mean by “input size”**

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Shopping Bag Problem

n items of weight at most B

- Natural problems
 - Input size is $n \lg(B)$ bits

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Shopping Bag Problem

n items of weight at most B

- Natural problems
 - Input size is $n \lg(B)$ bits
- Objective: An $O(nB)$ algorithm does not prove tractability

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Our outlook

- Decision version
 - What about search?
 - If the decision problem is intractable then the search problem is intractable. Why?

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Our outlook

- Decision version
 - What about search?
 - If the decision problem is intractable then the search problem is intractable. Why?
 - Typically, if the decision problem is tractable then so is the search problem. Why?

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Our outlook

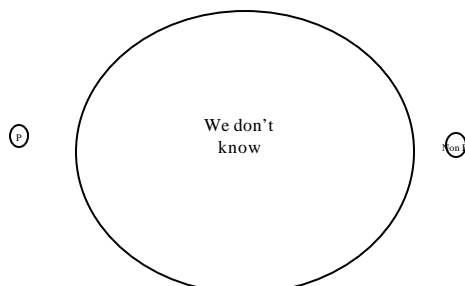
- Objective to distinguish tractable from intractable
 - However meager this may be

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The world as it seems...

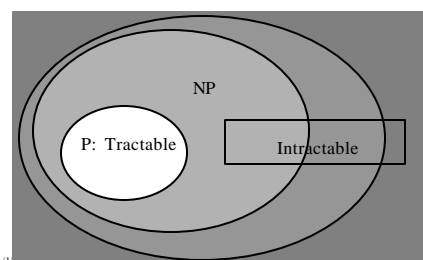


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The world as we believe it to be...



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NP

- Languages that can be posed as $\{x \mid \exists y \text{ such that } P(x,y)\}$
where $P(x,y)$ is checkable in time $\text{poly}(|x|)$

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Example

- VC:
 - $x=(G,k)$
 - y is a vertex cover of G containing k or fewer vertices
- 3-coloring:
 - $x=G$
 - y is a function mapping V to $\{\text{red,blue,green}\}$

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NP

- Languages that can be posed as $\{x \mid \exists y \text{ such that } P(x,y)\}$
where $P(x,y)$ is checkable in time $\text{poly}(|x|)$
- y is called a witness (or proof) for x

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NP: Other characterizations

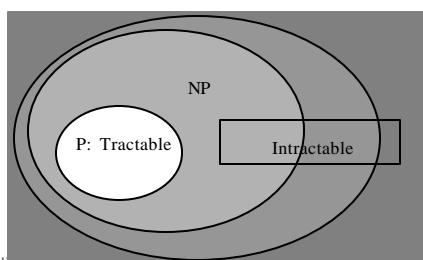
- Languages decidable in polynomial-time by a non-deterministic Turing machine
- Languages that have probabilistically checkable proofs using a constant number of queries and logarithmic randomness

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The world as we believe it to be...

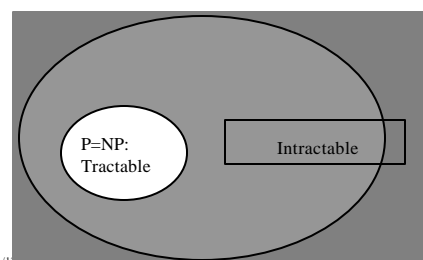


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But what could be...

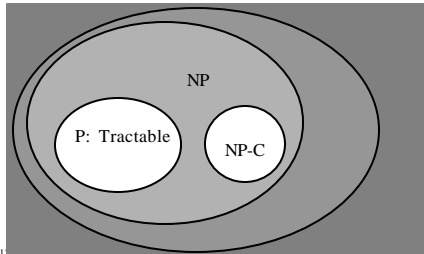


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The world as we believe it to be...



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NP-complete

- If A is NP and B is NP-complete then $A \leq_p B$
- If any NP-complete problem is tractable then every NP problem is tractable.

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NP

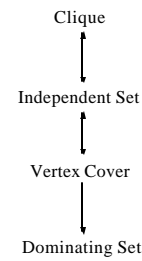
- Is it in NP?
 - Is it also in P?
 - Is it NP-Complete?
 - Else?

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NP-Completeness Map

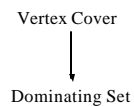


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NP-Completeness Map Legend



$VC \leq_p DS$:

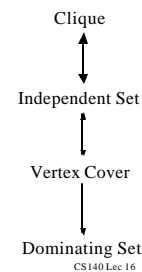
1. If VC is NP-hard then so is DS.
2. If DS can be solved efficiently then so can VC.

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NP-Completeness Map



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Clique \leftrightarrow Independent Set

For $G = (V, E)$ the complement of G is

$$G^c = (V, V \times V - E)$$



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Clique \leftrightarrow Independent Set

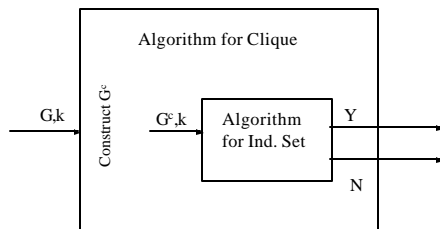
- A clique in G is an independent set in G^c .
- A clique in G^c is an independent set in G .

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Clique \leq_p Independent Set

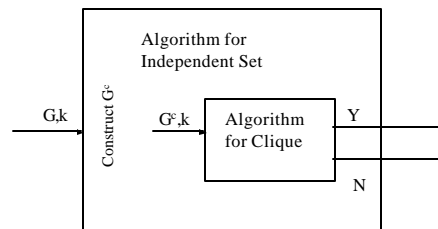


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Independent Set \leq_p Clique



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$$T_{\text{clique}}(n, m)$$

$$T_{\text{clique}}(n, m) = n^2 + T_{\text{ind-set}}(n, n^2 - m)$$



Reduction is $\text{poly}(n, m)$

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$$T_{\text{clique}}(n, m)$$

$$T_{\text{clique}}(n, m) = n^2 + T_{\text{ind-set}}(n, n^2 - m)$$

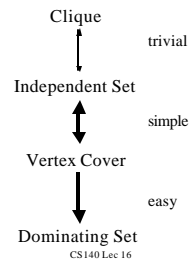
If $T_{\text{ind-set}}$ is polynomially-bounded then so is T_{clique} .

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NP-Completeness Map

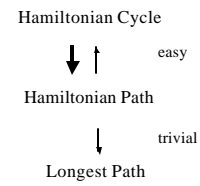


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NP-Completeness Map

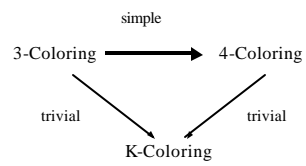


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NP-Completeness Map



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