

## More Lambda Calculus: Arithmetic and Fixed Points

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CS 131: Programming Languages

## Review: Syntax

- Pure lambda calculus:

$M, N ::=$	$x$	<i>variables</i>
	$  \lambda x. M$	<i>functions</i>
	$  M N$	<i>applications</i>

- That's it!

## Review: Parenthesis Conventions

- Terms differing only in names of bound variables are considered the same term

In the term  $\lambda x. M$ , variable  $x$  is bound in  $M$

- Application associates leftward

$xyzw = ((xy)z)w$

- Function bodies as large as possible.

$\lambda x. yx = \lambda x. (yx) \neq (\lambda x. y)x$

## Review: One-step $\beta$ -Reduction

- The relation  $\rightarrow_\beta$  is defined by:

$$\frac{}{(\lambda x. M)N \rightarrow_\beta M[x \rightarrow N]}$$

$$\frac{M \rightarrow_\beta M'}{M N \rightarrow_\beta M' N} \qquad \frac{N \rightarrow_\beta N'}{M N \rightarrow_\beta M N'}$$

$$\frac{M \rightarrow_\beta M'}{\lambda x. M \rightarrow_\beta \lambda x. M'}$$

## Review: Reduction, Conversion

- The relation  $\rightarrow_{\beta}^*$  is defined to be the reflexive, transitive closure of  $\rightarrow_{\beta}$ 
  - i.e., 0 or more  $\rightarrow_{\beta}$  steps.
- The relation  $\leftrightarrow_{\beta}^*$  is defined to be the reflexive, transitive, *symmetric* closure of  $\rightarrow_{\beta}$ .

## Review: Encodings

- Last class we showed how to *encode* booleans and pairs
  - Terms **tt** and **ff** such that
 
$$\begin{aligned} \mathbf{tt} \ M \ N &\leftrightarrow_{\beta}^* M \\ \mathbf{ff} \ M \ N &\leftrightarrow_{\beta}^* N \end{aligned}$$
  - Terms **fst** and **snd** and  $\langle M, N \rangle$  such that
 
$$\begin{aligned} \mathbf{fst} \ \langle M, N \rangle &\leftrightarrow_{\beta}^* M \\ \mathbf{snd} \ \langle M, N \rangle &\leftrightarrow_{\beta}^* N \end{aligned}$$

## Review: Encodings

- Also defined Church numerals
 
$$\begin{aligned} \ulcorner 0 \urcorner &:= \lambda f. \lambda b. b \\ \ulcorner 1 \urcorner &:= \lambda f. \lambda b. f(b) \\ \ulcorner 2 \urcorner &:= \lambda f. \lambda b. f(f(b)) \\ &\dots \\ \ulcorner n \urcorner &:= \lambda f. \lambda b. f^n(b) \end{aligned}$$
- Operations
 
$$\begin{aligned} \mathbf{succ} \ \ulcorner n \urcorner &\leftrightarrow_{\beta}^* \ulcorner n+1 \urcorner \\ \mathbf{iszero} \ \ulcorner 0 \urcorner &\leftrightarrow_{\beta}^* \mathbf{tt} \\ \mathbf{iszero} \ \ulcorner n \urcorner &\leftrightarrow_{\beta}^* \mathbf{ff} \quad (\text{for all } n > 0) \end{aligned}$$

## Review: Reduction

$$\begin{aligned} &(\lambda b. \lambda x. \lambda y. b \ y \ x) (\lambda w. \lambda z. w) && [\mathbf{not} \ \mathbf{tt}] \\ \rightarrow_{\beta} &\lambda x. \lambda y. (\lambda w. \lambda z. w) \ y \ x \\ \rightarrow_{\beta} &\lambda x. \lambda y. (\lambda z. y) \ x \\ \rightarrow_{\beta} &\lambda x. \lambda y. y && [\mathbf{ff}] \end{aligned}$$

$$\begin{aligned} &(\lambda b. (\lambda x. (\lambda y. ((b \ y) \ x)))) (\lambda w. (\lambda z. w)) \\ \rightarrow_{\beta} &\lambda x. (\lambda y. (((\lambda w. (\lambda z. w)) \ y) \ x)) \\ \rightarrow_{\beta} &\lambda x. (\lambda y. ((\lambda z. y) \ x)) \\ \rightarrow_{\beta} &\lambda x. (\lambda y. y) \end{aligned}$$

## Addition and Multiplication

- Find terms **plus** and **times** such that

$$\mathbf{plus} \text{ } \ulcorner m \urcorner \ulcorner n \urcorner \leftrightarrow_{\beta}^* \ulcorner m+n \urcorner$$

$$\mathbf{times} \text{ } \ulcorner m \urcorner \ulcorner n \urcorner \leftrightarrow_{\beta}^* \ulcorner mn \urcorner$$

## Predecessor

- Tricky to subtract with Church numerals
- Key idea: consider the sequence  
 $\langle \ulcorner 0 \urcorner, \ulcorner 0 \urcorner \rangle \quad \langle \ulcorner 0 \urcorner, \ulcorner 1 \urcorner \rangle \quad \langle \ulcorner 1 \urcorner, \ulcorner 2 \urcorner \rangle \quad \langle \ulcorner 2 \urcorner, \ulcorner 3 \urcorner \rangle \quad \dots$

$$\mathbf{pred}' := \lambda n. (n (\lambda p. \langle \mathbf{snd} \text{ } p, \mathbf{succ}(\mathbf{snd} \text{ } p) \rangle) \langle 0, 0 \rangle)$$

$$\mathbf{pred} := \lambda n. (\mathbf{fst} \text{ } (\mathbf{pred}' \text{ } n))$$

## Fixed Points

- For *every*  $\lambda$ -calculus term  $M$ , there exists  $N$  such that

$$M(N) \leftrightarrow_{\beta}^* N$$

(including  $M = \mathbf{not}$  and  $M = \mathbf{succ}$ )

- A term  $N$  with this property is called a *fixed point* of  $M$ .
- Fixed points can be found *uniformly*. That is, there is a term  $\mathbf{Y}$  such that

$$M(\mathbf{Y}(M)) \leftrightarrow_{\beta}^* \mathbf{Y}(M)$$

Namely,

$$\mathbf{Y} := \lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))$$

## Exercises

- Show that

$$M(\mathbf{Y}(M)) \leftrightarrow_{\beta}^* \mathbf{Y}(M)$$

## Definitions

- The definitions we have seen so far have all been *abbreviations* or *macros*
- Convenient shorthand, letting us write `not tt` instead of  $(\lambda b. \lambda x. \lambda y. b \ y \ x) (\lambda w. \lambda z. w)$ .
- But, we can always get rid of all abbreviations by replacing them with their definitions.

## Recursive Definitions

- But what about defining recursive functions, like factorial?
- A definition like

```
fact := λn. (iszero n) '1'  
        (times n (fact (pred n)))
```

is not a simple macro, but a circular definition!

- There's no way to write `fact '7'` without referring to `fact`.
- Why should we believe this defines anything at all?

## A Higher-Order Function

- Consider the following *non-circular* definition:  
$$\mathbf{F} := \lambda g. \lambda n. (\text{iszero } n) \text{'1'}$$
$$(\text{times } n \ (g \ (\text{pred } n)))$$
- So  
$$\mathbf{F}(f) \leftrightarrow_{\beta}^* \lambda n. (\text{iszero } n) \text{'1'}$$
$$(\text{times } n \ (f \ (\text{pred } n)))$$
- If the argument to  $\mathbf{F}$  was *already* the factorial function, we'd get the same thing back.
- Thus the factorial function is a fixed point of  $\mathbf{F}$ .
- We know that  $\mathbf{Y}(\mathbf{F})$  is a fixed point of  $\mathbf{F}$ .
- Hence  $\mathbf{Y}(\mathbf{F})$  is the factorial function. (!?)

## Applying Factorial

```
F := λf. λn. (iszero n) '1'  
        (times n (f (pred n)))
```

```
fact := Y(F)
```

```
fact('n')  
↔β* (F(fact))('n')  
↔β* (iszero 'n') '1'  
        (times 'n' (fact (pred 'n')))
```

## Applying Factorial

`fact('2')  $\leftrightarrow_{\beta}^*$`

## Recursive Definitions

- Consider the SML definition  
`fun g(n) = ...code involving g...`
- This is convenient syntax for  
`val rec g = (fn n => ...code involving g...)`
- The function we want is a solution to this *equation*  
`g == (fn n => ...code involving g...)`
- Hence the function we want is a fixed point of  
`fn g => (fn n => ...code involving g...)`

## Fibonacci Example

```
FIB := λg.λn.(iszero n) "0"  
      (iszero (pred n) "1"  
      (plus (g (pred n))  
            (g (pred (pred n))))))  
fib := Y(FIB)
```

## Fixed Points

- Every term has at least one fixed point.
  - Even **succ** and **not**!
- Some terms have many fixed points
  - For example,  $\lambda n.n$
  - Or, **FIB**
    - Recall the `fib` and `intfib` functions from Assignment 1!
    - **Y** picks out the unique *least* fixed point.
      - Which turns out to be the one we "expect".
      - Yields answers as infrequently as possible.