

# Natural Deduction for Predicate Calculus

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# Predicate Logic

- Proposition logic does not offer a way to talk about properties of **individuals**.
- This difficulty is overcome in predicate logic, which adds:
  - Constants representing individuals
  - Variables varying over individuals
  - Predicate symbols (including the equality symbol)
  - Function symbols
  - Quantifiers
- We use the same natural deduction framework, just augment the formula language and add new rules.
- “Truth” becomes more complex.

# Before: Propositional Language

- E is the start symbol
- E  $\rightarrow$  A | // Atom
- $(\neg E)$  | // Negation (not)
- $(E \wedge E)$  | // Conjunction (and)
- $(E \vee E)$  | // Disjunction (or)
- $(E \rightarrow E)$  | // Implication (implies)
- $\perp$  | // Bottom
- $\top$  | // Top
- A  $\rightarrow$  'p' | 'q' | 'r' | 's' | ... // Propositions

# After: Predicate Language

- E is the start symbol
- E  $\rightarrow$  A | // Atom (atomic formula)  
  ( $\neg$  E) | // Negation (not)  
  (E  $\wedge$  E) | // Conjunction (and)  
  (E  $\vee$  E) | // Disjunction (or)  
  (E  $\rightarrow$  E) | // Implication (implies)  
   $\perp$  | // Bottom  
   $\top$  | // Top  
  ( $\forall$ )E | // Universally-quantified formula  
  ( $\exists$ )E | // Existentially-quantified formula
- A now requires a more complex production



# Atomic Formulas

- $A \square P(L)$  // Predicate applied to list of terms
- $L \square T \mid T \text{ , } L$  // List of terms
- $T \square V \mid C \mid F(L)$  // Term
  
- $V \square \text{'x'} \mid \text{'y'} \mid \text{'z'} \mid \dots$  // Variable symbols
- $P \square \text{'p'} \mid \text{'q'} \mid \text{'r'} \mid \dots$  // Predicate symbols
- $C \square \text{'a'} \mid \text{'q'} \mid \text{'c'} \mid \dots$  // Constant symbols
- $F \square \text{'f'} \mid \text{'g'} \mid \text{'h'} \mid \dots$  // Function symbols

Some predicates and functions may be abbreviated in infix form, e.g.

$= < > \dots$  will be infix predicate symbols

$+ * / \dots$  will be infix function symbols

We will not bother with a special grammar for these, although it can be done.



# Examples of Terms

- $b$  constant
- $y$  variable
- $g(b, y)$  function applications
- $g(h(b), c, h(y))$
- $g(a, b, g(a, b, c))$



# Examples of Atomic Formulas

- $p(b)$
- $q(y)$
- $p(g(b, y))$
- $r(a, g(h(b), c, h(y)))$



## Examples of Quantifier-Free Formulas

- $p(b) \wedge p(c)$
- $p(y) \vee q(y)$
- $p(g(b, y)) \vee q(y)$
- $\exists r(a, g(h(b), c, h(y)))$

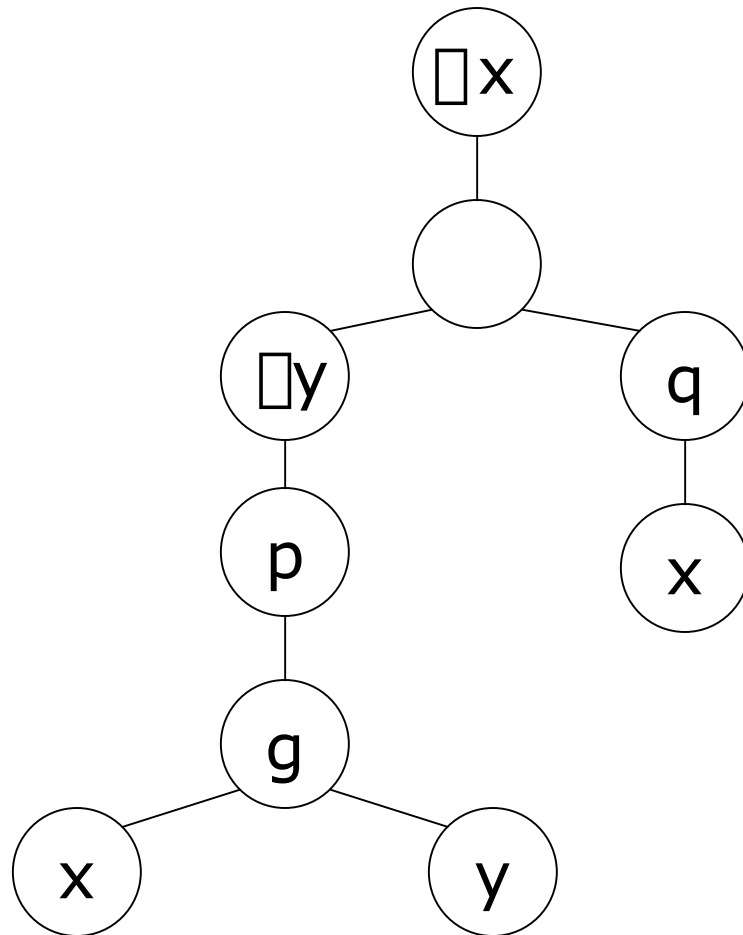


## Examples of Formulas

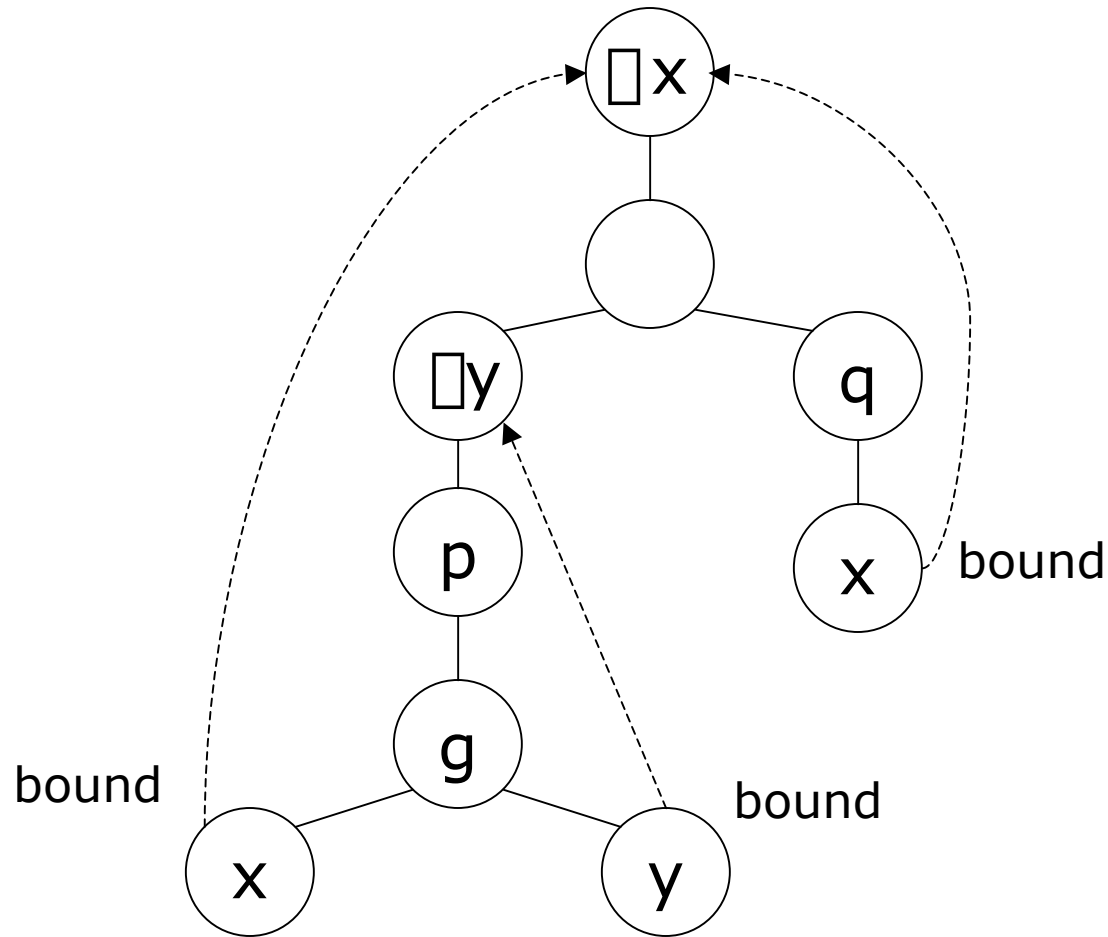
- $(\exists x)p(x)$
- $(\exists y) (p(y) \wedge q(y))$
- $(\exists y) (\exists x) (p(g(x, y)) \wedge q(y))$
- $(\exists x) ((\exists y) p(g(x, y))) \wedge q(x)$

# Syntax Trees (or "Parse" Trees)

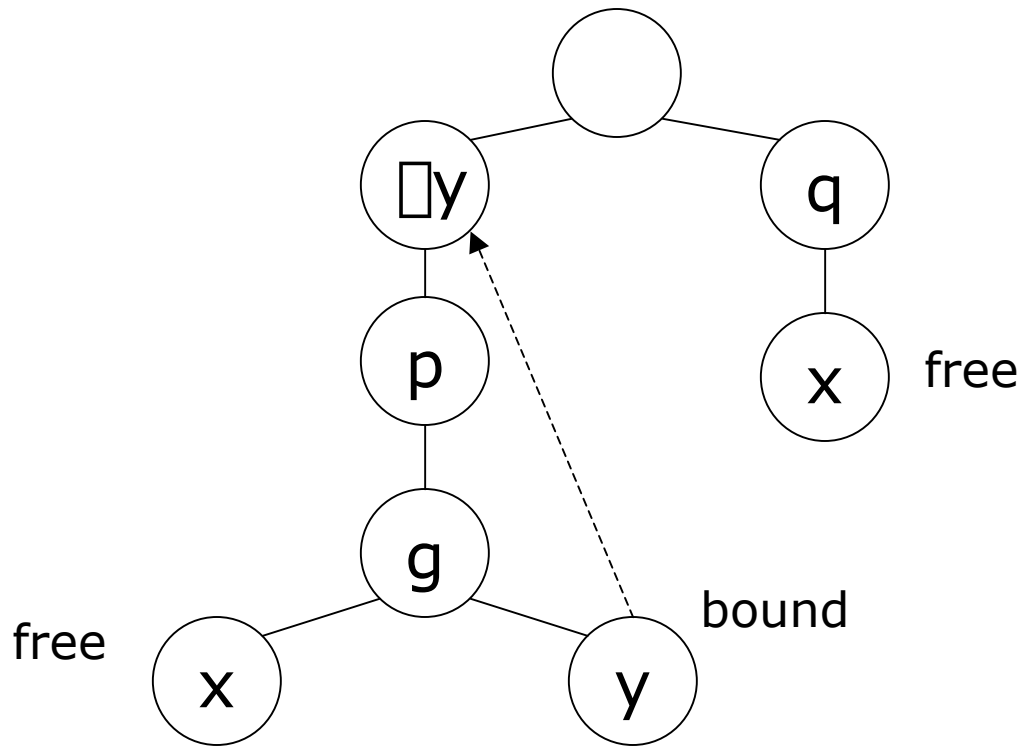
- We are assuming familiarity with syntax trees from CS 60.
- Here  $(\lambda x)$   $(\lambda x)$  are treated as 1-ary operators.
- Example:  $(\lambda x) ((\lambda y) p(g(x, y))) \quad q(x)$



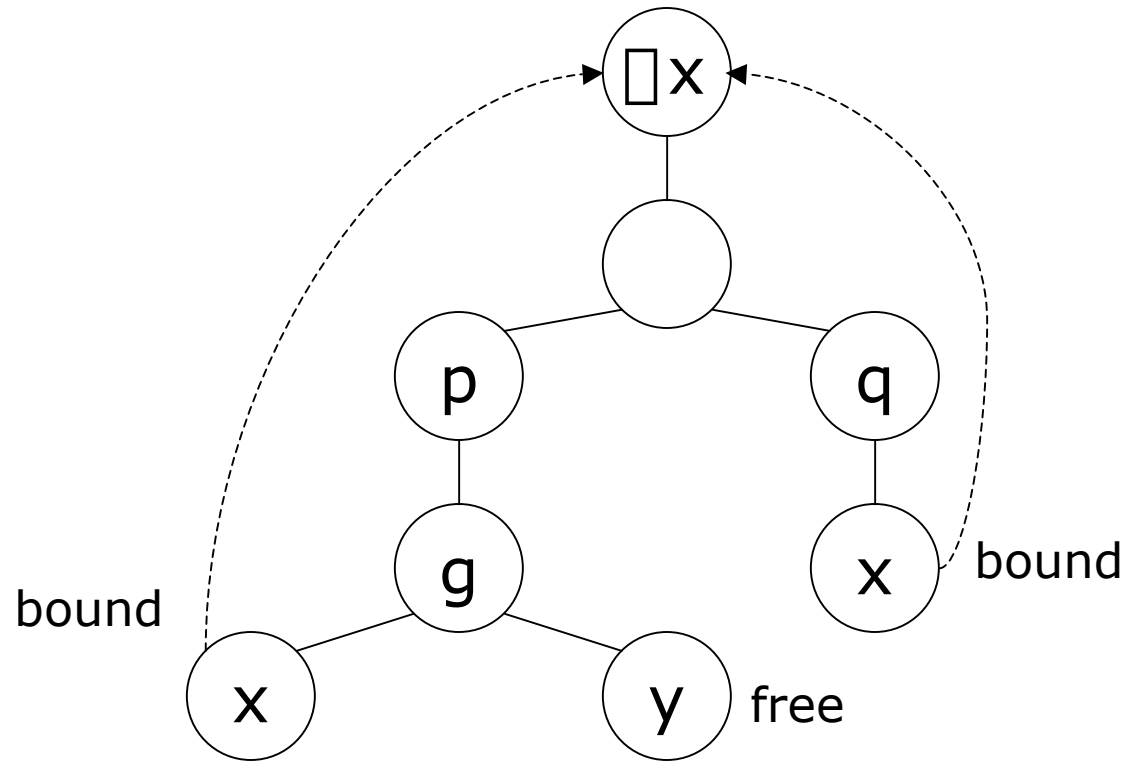
# Free and Bound Variable Instances



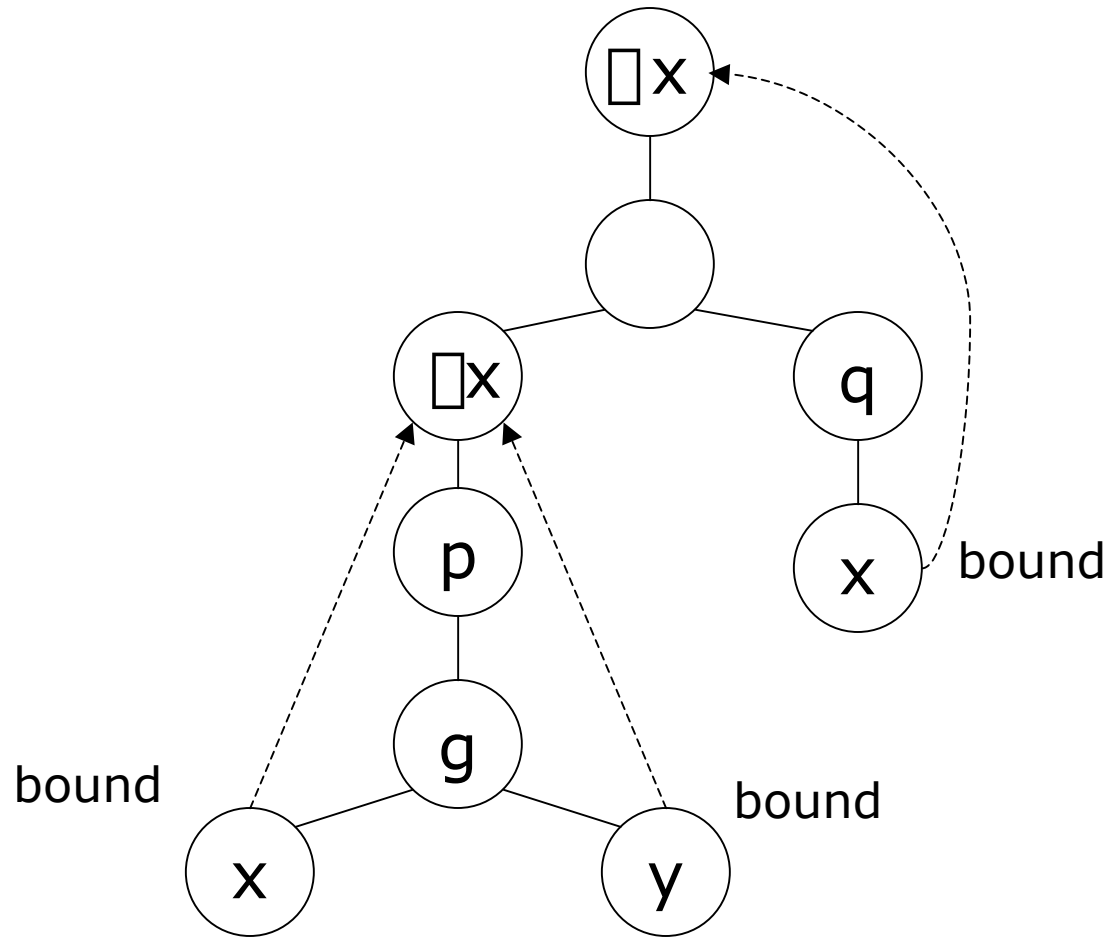
# Free and Bound Variable Instances



# Free and Bound Variable Instances



# Free and Bound Variable Instances





# Definition of Free and Bound

- In a term, every instance of a variable is free.
- If  $\varphi$  is a formula, then any free instances of a variable  $x$  become bound in  $(\forall x)\varphi$  and  $(\exists x)\varphi$ .
- The free instances of variables in  $\varphi$  and  $\psi$  remain free in  $(\varphi\psi)$ ,  $(\varphi \ \psi)$ ,  $(\varphi\psi\varphi)$ , and  $(\varphi \ \psi \ \varphi)$ .
- The bound instances of variables in  $\varphi$  and  $\psi$  remain bound in  $(\varphi\psi)$ ,  $(\varphi \ \psi)$ ,  $(\varphi\psi\varphi)$ , and  $(\varphi \ \psi \ \varphi)$ .

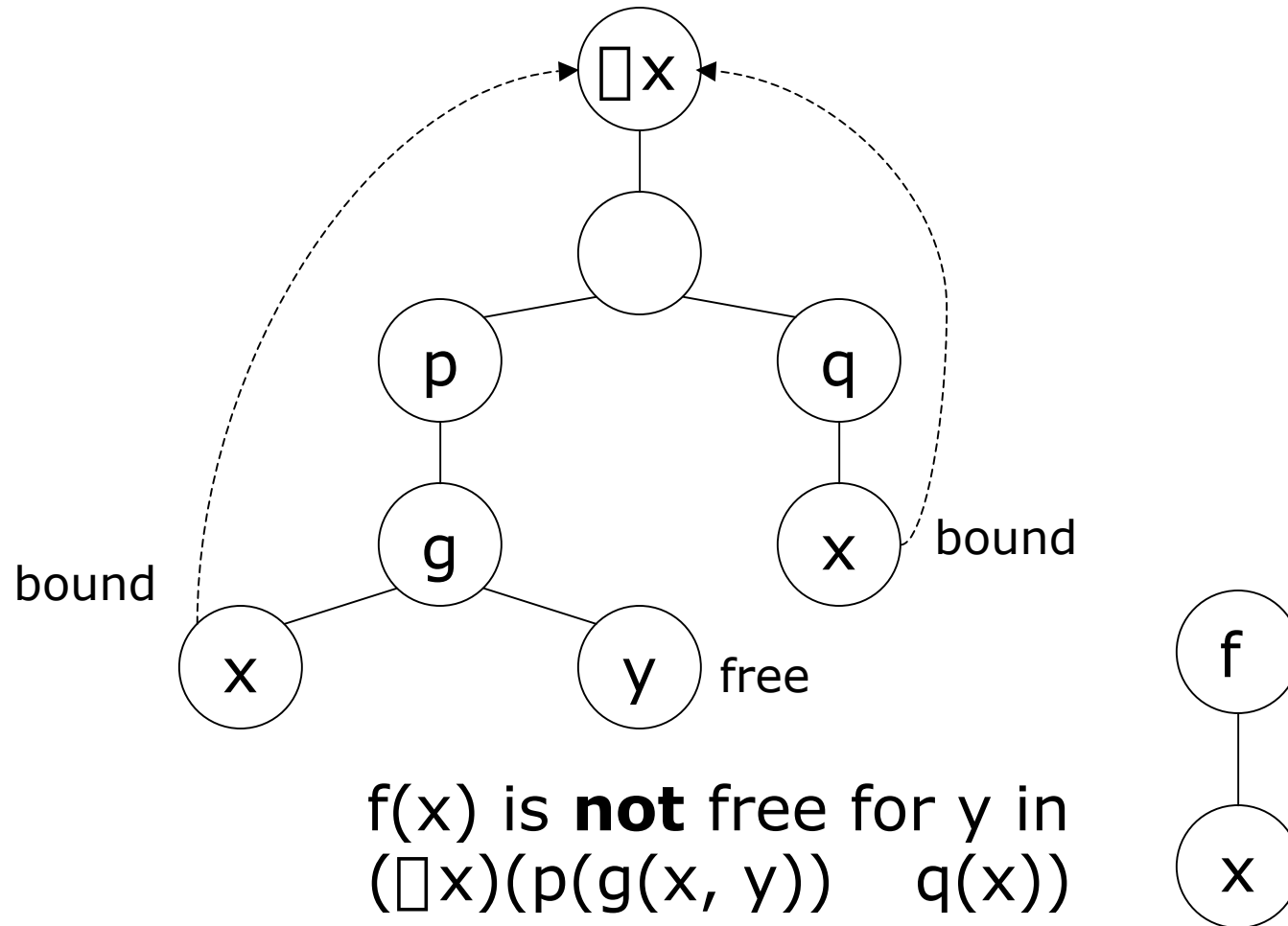


# Substitutability

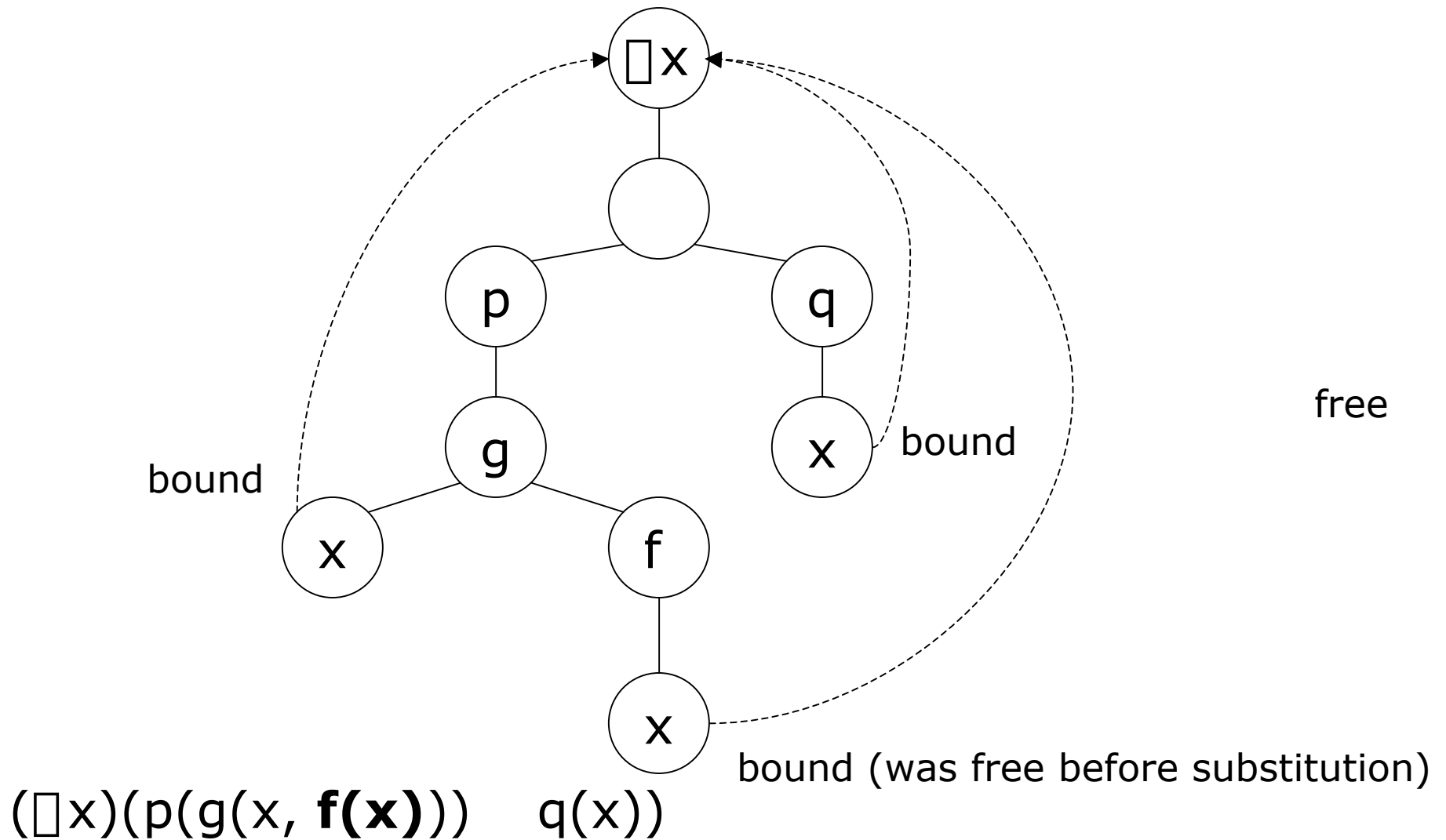
- We are going to need to be able to **substitute** terms for **free** variables in various formulas.
- While this is easy syntactically, there is a semantic restriction that must be observed:
  - In substituting a term for a variable within a formula, **no variables within the term can become bound** as a result of the substitution.
- If  $t$  is a term,  $v$  is a variable, and  $F$  is a formula, and the above restriction applies, we say that

**“ $t$  is free for  $v$  in  $F$ .”**

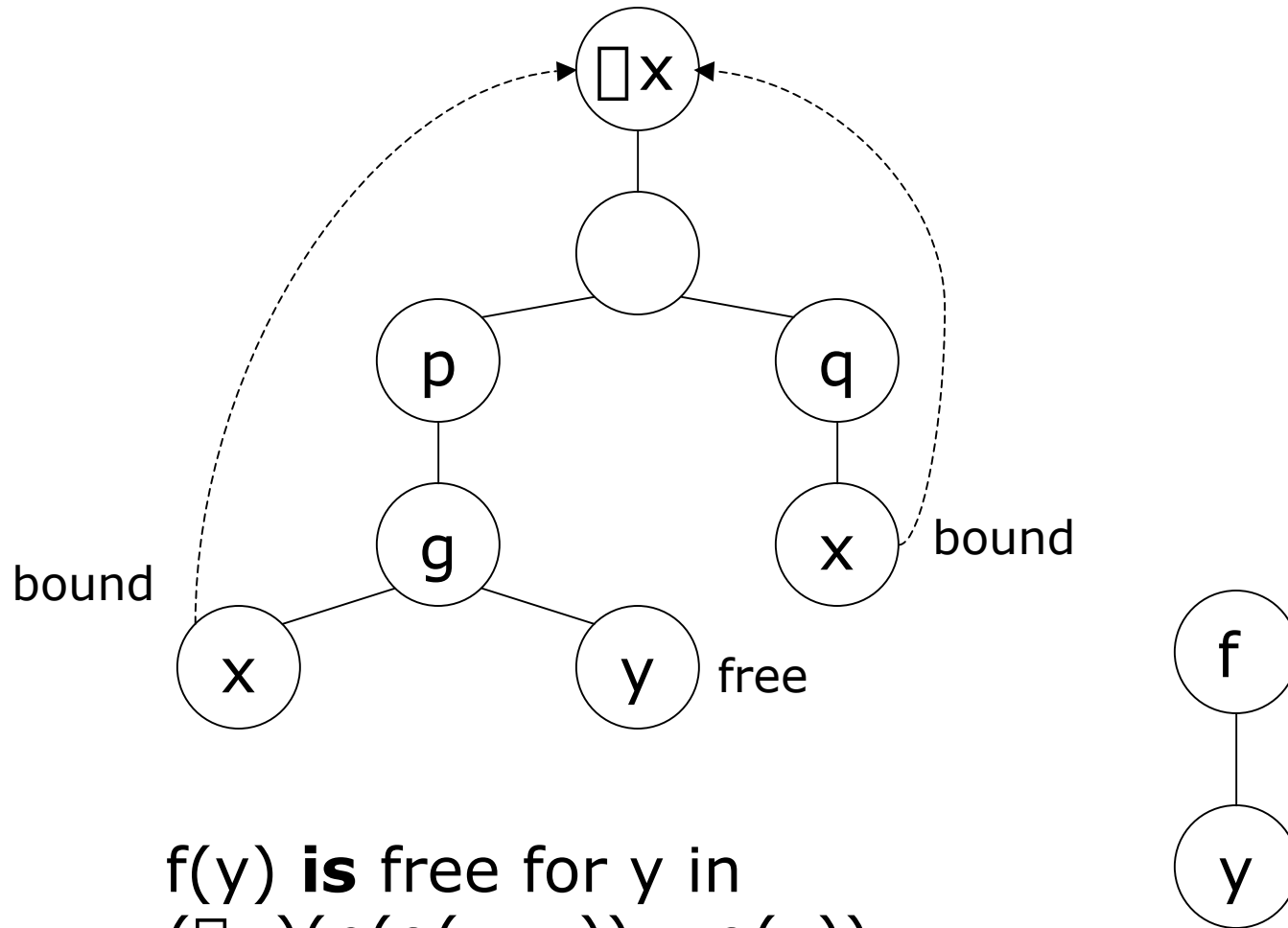
# Non-Substitutability Example



# Non-Substitutability Example

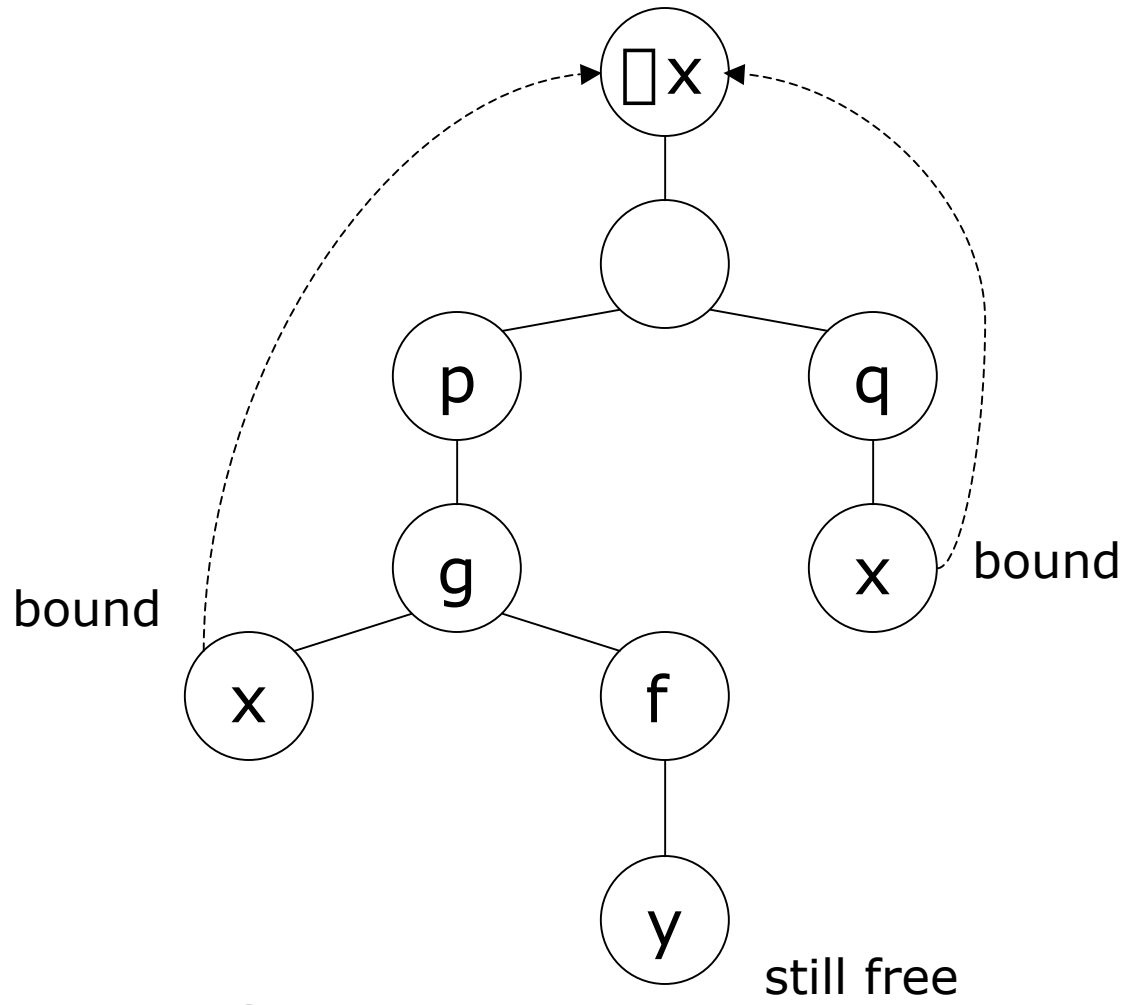


# Substitutability Example



$f(y)$  **is** free for  $y$  in  
 $(\exists x)(p(g(x, y)) \quad q(x))$

# Substitutability Example



$(\exists x)(p(g(x, \mathbf{f}(\mathbf{y}))) \quad q(x))$



# Substitution Notation

- If  $t$  is a term,  $v$  is a variable, and  $F$  is a formula, and

$t$  is free for  $v$  in  $F$

then by

$F[t/v]$

we mean the result of substituting  $t$  for every **free** occurrence of  $v$  in  $F$ .

This notation is to be used **only** when the substitutability restriction applies.

Note:  $[ / ]$  is **meta**-syntax; these symbols do not appear in the resulting formula.



# Substitution Notation Example

Let  $F$  be the formula

$$(\forall x)(p(g(x, y)) \rightarrow q(x))$$

Let  $v$  be the variable  $y$ .

Let  $t$  be the term  $f(y)$ .

$f(y)$  **is** free for  $y$  in  $(\forall x)(p(g(x, y)) \rightarrow q(x))$ .

$$F[f(y)/y] \text{ is } (\forall x)(p(g(x, f(y))) \rightarrow q(x)).$$



# Substitution Notation Example

Let  $F$  be the formula

$$(\exists x)(p(g(x, y)) \wedge q(x))$$

Let  $v$  be the variable  $x$ .

Let  $t$  be the term  $f(y)$ .

$f(y)$  **is** free for  $x$  in  $(\exists x)(p(g(x, y)) \wedge q(x))$ ; there are no free instances of  $x$ .

$F[f(y)/y]$  is the same as  $F$ .



# Natural Deduction Rules

- We need introduction and elimination rules for each of:
  - $(\exists x)$
  - $(\forall x)$
  - $=$  (as a specially-interpreted predicate symbol)



## $(\forall x)$ -Elimination Rule $(\forall x e)$

- $$\frac{(\forall x) \phi}{\phi[t/x]} \quad (\forall x e)$$

where  $t$  is any term that is free for  $x$  in  $\phi$ .

- What the rule says:**

If we have derived a universally-quantified formula  $\phi$ , then the formula  $\phi$  with any (appropriately-qualified) **specific instance** of  $x$  substituted for  $x$  is derivable.

# Why the Qualification is Necessary

- $$\frac{(\forall x) \phi}{\phi[t/x]} \quad (\forall x e)$$

**where t is any term that is free for x in  $\phi$ .**

- Correct example: z is free for x in  $(\forall y) p(y, x)$

1.	$(\forall x) (\forall y) p(y, x)$	Premise	
2.	$z = 0$	Assumption	
3.	$(\forall y) p(y, z)$	$(\forall x e) 1$	(substituting <b>z</b> for x)

- Incorrect example: y is **not** free for x in  $(\forall y) p(y, x)$

1.	$(\forall x) (\forall y) p(y, x)$	Premise	
2.	$(\forall y) p(y, y)$	$(\forall x e) 1$	(substituting <b>y</b> for x)

- For instance, p could be  $>$  in the domain of natural numbers.

# $(\Box x)$ -Introduction Rule

- This rule uses a sub-derivation, with **no formula assumed**.

$$\frac{\begin{array}{|l} x_0 \\ \cdot \\ \cdot \\ \cdot \\ \Box[x_0/x] \end{array}}{(\Box x)\Box} \quad (\Box x \text{ i})$$

- Here  $x_0$  is a "fresh" variable otherwise unused in the proof.
- $x_0$  must be free for  $x$  in  $\Box$ , but since  $x_0$  is "fresh", this should never be an issue



## $(\forall x)$ -Introduction Rule

- What this rule says:
- If we have argued to derive a term  $\square[x_0/x]$  where  $x_0$  is an **arbitrary** value of  $x$ , then we are justified in concluding  $(\forall x)\square$ .
- The key is the word “arbitrary”; there can be no constraints attached to  $x_0$ .
- Note: Once the conclusion  $(\forall x)\square$  is drawn,  $x_0$  is **discharged** and cannot be further used.

## $(\forall x e) (\forall x i)$ Example

- Derive  $(\forall x)(p(x) \supset q(x))$ ,  $(\forall x) p(x) \vdash (\forall x) q(x)$  :

1.	$(\forall x)(p(x) \supset q(x))$	Premise
2.	$(\forall x) p(x)$	Premise
3.	$x_0$ $p(x_0)$	$\forall x e$ 2
4.	$p(x_0) \supset q(x_0)$	$\forall x e$ 1
5.	$q(x_0)$	$\supset e$ 3, 4
6.	$(\forall x) p(x)$	$\forall x i$ 3-5



## $(\forall x e)$ $(\forall x i)$ English Equivalent

- Derive  $(\forall x)(p(x) \supset q(x))$ ,  $(\forall x) p(x) \mid\!\!\mid (\forall x) q(x)$  :
- Assume  $(\forall x)(p(x) \supset q(x))$  and  $(\forall x) p(x)$ .

Let  $x_0$  be an arbitrary element.

From the second assumption, we have  $p(x_0)$ , and the first assumption  $p(x_0) \supset q(x_0)$ , hence also  $q(x_0)$  by *modus ponens*.

Since  $x_0$  was chosen arbitrarily, from  $q(x_0)$  we get  $(\forall x) q(x)$ .



## $(\exists x)$ -Introduction Rule $(\exists x i)$

- $$\frac{\phi[t/x] \quad (\exists x i)}{(\exists x) \phi}$$

where  $t$  is any term that is free for  $x$  in  $\phi$ .

- **What the rule says:**

If we have exhibited a formula in which  $\exists$  variable  $x$  is replaced by a **specific instance** then we can conclude that there is an  $x$  for for which the formula is true.



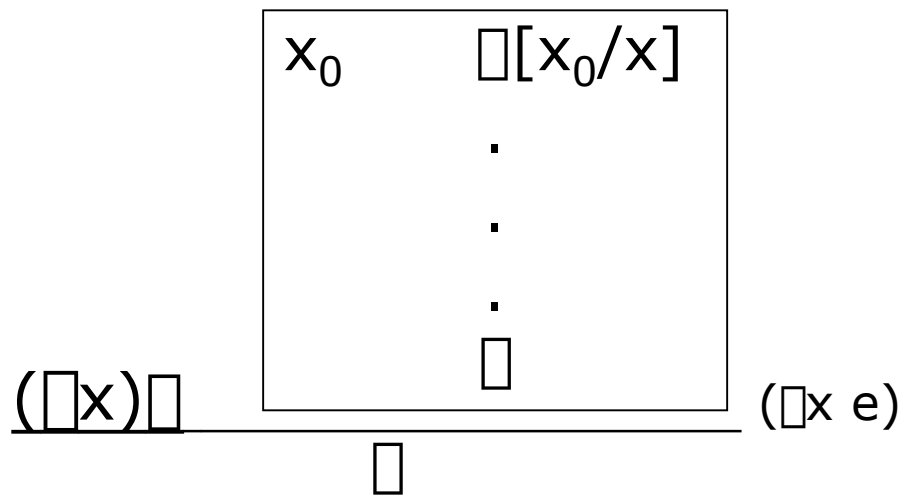
## $(\exists x)$ -Introduction Rule $(\exists x i)$

- $$\frac{\phi[t/x]}{(\exists x) \phi} \quad (\exists x i)$$

where  $t$  is any term that is free for  $x$  in  $\phi$ .

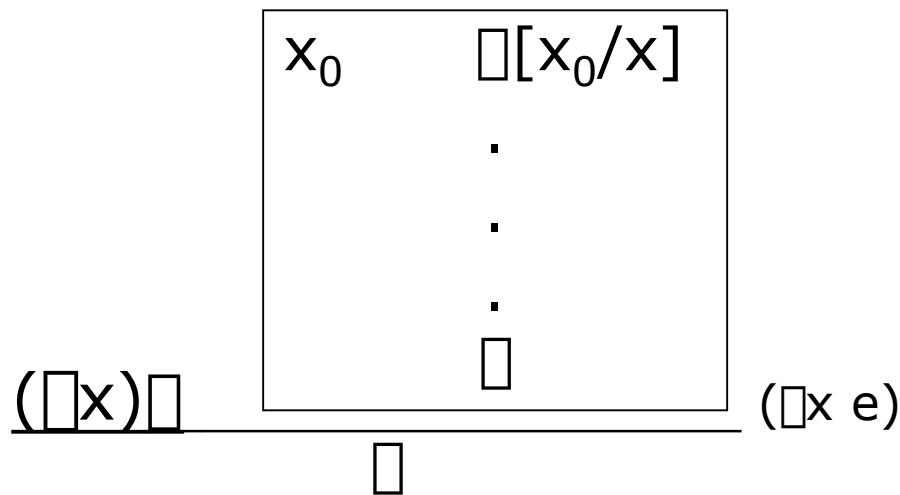
- In essence, this rule loses information, by replacing knowledge of a **specific**  $x$  for which is true with the statement that there is some such  $x$ .
- It is analogous to rule  $\exists$ -Introduction.

# $(\exists x)$ -Elimination Rule ( $\exists x e$ )



- Here  $x_0$  is a “fresh” variable otherwise unused in the proof.
- $x_0$  must be free for  $x$  in  $\varphi$ , but since  $x_0$  is “fresh”, this should never be an issue

# $(\exists x)$ -Elimination Rule ( $\exists x e$ )



- **What this rule says:**
- Assume that we have derived  $(\exists x)\phi$ . One use we can make of this fact is to let  $x_0$  be **an**  $x$  such that  $\phi[x_0/x]$ . There can be no other constraints on  $x_0$ . If we then derive  $\psi$  from the assumption about  $\phi$ , then we can conclude  $\psi$  in general.

## $(\forall x \text{ i}) (\forall x \text{ e})$ Example

- Derive  $(\forall x)(p(x) \supset q(x))$ ,  $(\forall x) p(x) \vdash (\forall x) q(x)$ :

1.	$(\forall x)(p(x) \supset q(x))$	Premise
2.	$(\forall x) p(x)$	Premise
3.	$x_0$ $p(x_0)$	Assumption
4.	$p(x_0) \supset q(x_0)$	$\forall x \text{ e } 1$
5.	$q(x_0)$	$\supset \text{ e } 3, 4$
6.	$(\forall x) q(x)$	$\forall x \text{ i } 5$
7.	$(\forall x) q(x)$	$\forall x \text{ e } 3-6$

- In the  $\forall x \text{ e}$  rule,  $\supset$  is identified with  $p(x)$ , while  $\forall$  is identified with  $(\forall x) q(x)$ .
- Try not to be confused by the fact that  $\forall$  is in the conclusion.



## $(\exists x \text{ i}) (\exists x \text{ e})$ Example in English

- Derive  $(\exists x)(p(x) \supset q(x))$ ,  $(\exists x) p(x) \mid \vdash (\exists x) q(x)$ :
- Assume  $(\exists x)(p(x) \supset q(x))$  and  $(\exists x) p(x)$ .

Let  $x_0$  be such that  $p(x_0)$ .

By the first assumption,  $p(x_0) \supset q(x_0)$ .  
Hence  $q(x_0)$ .

Since we've exhibited an  $x$  such that  $q(x)$ ,  
conclude  $(\exists x) q(x)$ .