



# Rice's Theorem

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# What is Rice's Theorem?

- Could be considered a “meta-theorem” due to its sweeping character.
- Applies to **functional** questions: languages and functions computed by Turing machines in the abstract;  
not to TM **structural** questions.



# Questions about languages

- We can't simply hand an **entire language** to a program and ask a question about it. A language can, in general, be infinite.
- We need a way to give the language in a **finite representation**.
- One way of doing this is to use a **machine** that recognizes the language as the representation.



# Questions about languages

- So when we speak of properties of languages, we will assess that property through the representation, e.g. the machine description. This immediately means that we are restricting ourselves to the universe **recursively-enumerable languages**.
- But we must make sure that the property in question is a property of the language and **not of a specific machine**.
- In other words, if we perform a test on one machine recognizing the language, we get the same answer for another machine recognizing the same language.



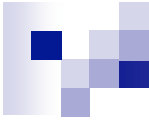
# Functional vs. Structural

- Functional questions:
  - Is there an algorithm for determining whether a TM recognizes **the empty language**?
  - Is there an algorithm for determining whether a TM recognizes **all strings**?
  - Is there an algorithm for determining whether a TM recognizes **a finite language**?
- Structural questions:
  - Is there an algorithm for determining whether a TM has **more than 500 reachable control states**?
  - Is there an algorithm for determining whether a TM ever uses **more than 500 reachable cells of tape on a given input**?



## Trivial Functional Properties

- A functional property is called “**trivial**” if it is true for all machine-recognizable (i.e. recursively-enumerable) languages or none of them.
- A **non-trivial property**, then, holds for **some** languages, but **not all**.



## Non-Trivial Functional Properties

- Whether the language recognized by a machine  $M$ 
  - includes the empty string  $\Lambda$ .
  - is a finite set.
  - is the empty set.
  - is the set of all strings.
  - is recursive.
  - etc.
- In each case, some machines do and some don't have the property. But the properties can be viewed as properties of the **language** recognized by the machine.



# Rice's Theorem

- Any non-trivial functional property of recursively-enumerable languages is not recursive.
- Put another way:

For any non-trivial functional property, there is no algorithm that will determine whether or not the language recognized by a given TM has the property.



## Observations about Non-Trivial Properties $P$

- Any given language has property  $P$ , or it does not.
- A language  $L$  has property  $P$  iff  $L$  does not have  $\neg P$ .
- To decide  $P$ , it is adequate to decide the complementary property  $\neg P$ , and vice-versa, since yes/no answers are demanded in both cases.



# Proof of Rice's Theorem (1 of 3)

- Suppose  $\mathbf{P}$  is a non-trivial property.
- If the empty set  $\emptyset$  has property  $P$ , then interchange  $P$  and  $\neg P$  and proceed under the assumption that  $\emptyset$  does not have  $P$ . **This assumption is critical.**
- Let  $\mathbf{L}_p$  be some arbitrary language with property  $P$  (which must exist, because  $P$  is non-trivial). We know that  $\mathbf{L}_p$  is distinct from  $\emptyset$ , by the assumption above.
- The plan is to reduce the halting problem to that of deciding property  $P$ , which will imply that there is no algorithm for the latter. This will hinge on having the  $P$  decider differentiate between  $\mathbf{L}_p$ , which has property  $P$ , and  $\emptyset$ , which does not.



## Proof of Rice's Theorem (2 of 3)

- Let  $M_p$  be a machine recognizing the chosen language  $L_p$ .
- The reduction works as follows: Suppose we have an algorithm for testing property  $P$  for *any* input  $\langle M \rangle$ .
- We can then test whether an arbitrary Turing machine  $T$  halts on an input  $x$  by constructing a machine  $M'(\langle T, x \rangle)$  with the specifications on the following page.
- Note:  $\langle T, x \rangle$  are **not** the **input** to  $M'$ , but rather are part of the construction of  $M'$ .

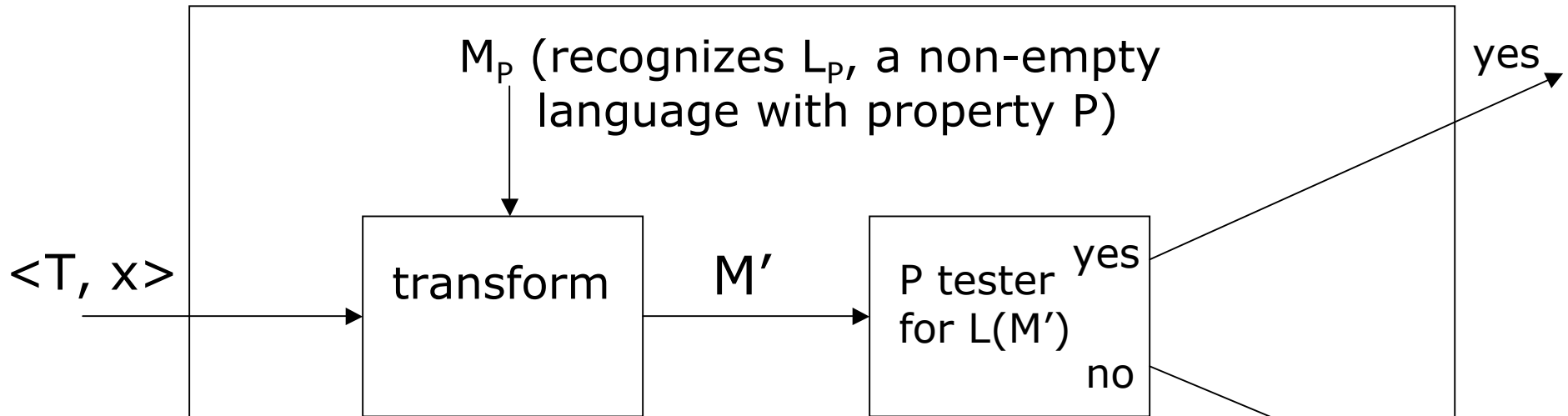


## Proof of Rice's Theorem (3 of 3)

- $M'(\langle T, x \rangle)$ : with input  $w$ , temporarily set aside  $w$  and **simulate**  $T$  on  $x$ .
- If the simulation of  $T$  on  $x$  **terminates**, then simulate  $M_P$  on the original input  $w$ . Thus if and when  $M_P$  terminates,  $M'$  accepts  $w$  iff  $M_P$  accepts  $w$ , i.e.  $L(M') = L(M_P)$ . So in this case,  $L(M')$  **has** property  $P$ , because  $M_P$  was selected to have it.
- If the above simulation **does not terminate**, then  $L(M') = \emptyset$  which **does not** have property  $P$ .
- So if we can test an arbitrary machine for its language having property  $P$ , we can test  $L(M')$  in particular. But the answer to this test determines whether or not  $T$  halts on  $x$ .



T halts on x?



$M'$  sets aside its input  $w$  and simulates  $T$  on  $x$ .  
If and when  $T$  **halts** on  $x$ ,  $M'$  behaves like  $M_p$  on  $w$ .  
 $L_p$  has property  $P$ , so  $L(M')$  **has property P** in this case.

If  $T$  does **not halt** on  $x$ , neither does  $M'$ , so  $M'$  recognizes the empty language, and thus  $L(M')$  **does not have property P**.

Thus  $L(M')$  has property  $P$  iff  $T$  halts on  $x$ .



## Note

- Although P was discussed as a property of **language** recognized by a Turing machine, the same proof works in the case that:

P is a property of the **partial function** computed by a Turing machine.

- This means there is no algorithm that will decide equivalence of an arbitrary machine's partial function to that of a given machine.



## Note: Functional Properties of Languages are representable as Languages Themselves

- Consider some functional property P.
- We can define a property precisely as the language of descriptions of TMs that have the property:
- Example:  
$$L_{\text{AcceptsBlank}} = \{ \langle M \rangle \mid M \text{ accepts the blank tape} \}.$$