



# Meta-Logic: Soundness and Completeness for Propositional Logic

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# Meta-?

- “Meta-Logic” or “Meta-Mathematics” means proving things **about** logic rather than just **within** logic.
- For example, we might want to prove something about all proofs or all theorems, or that a certain formula is **not** a theorem.
- The language **about** which we prove things is called the **object** language.
- The language **within** which we prove things about the object language is the **meta-language**.



# Validity vs. Provability

- The symbols  $\vdash$  and  $\models$  are part of the meta-language.
- $\varphi_1, \dots, \varphi_n \vdash \psi$  means  $\psi$  is **provable** from  $\varphi_1, \dots, \varphi_n$  (sequent)
- $\varphi_1, \dots, \varphi_n \models \psi$  means  $\varphi_1, \dots, \varphi_n$  **entail**  $\psi$ :

Under any interpretation, if each of  $\varphi_i$  is true, then  $\psi$  is true.

- $\vdash \psi$  and  $\models \psi$  are the special case with  $n = 0$ .



# Validity vs. Provability

- Generally, if  $\Gamma$  is (possibly-infinite) set of formulas
- The symbols  $\vdash$  and  $\models$  are part of the meta-language.
- $\Gamma \vdash \psi$  means  $\psi$  is **provable** from formulas  $\Gamma$
- $\Gamma \models \psi$  means:

Any interpretation that satisfies (every formula in)  $\Gamma$  also satisfies  $\psi$ .

[An interpretation **satisfies a formula** if it induces the value  $\top$ .

An interpretation **satisfies a set** of formulas if it satisfies each formula in the set.]



# Satisfiability

- $\Gamma$  is **satisfiable** if there is an interpretation that satisfies it.
- **Lemma S:**  $\Gamma$  is **satisfiable** iff  $\text{not } \Gamma \models \perp$ .
- Proof: Suppose that  $\Gamma$  is **satisfiable**. Let  $v$  be an interpretation that satisfies it. **No** interpretation satisfies  $\perp$ . So it is **not** the case that every interpretation satisfying  $\Gamma$  satisfies  $\perp$ , i.e. " $\text{not } \Gamma \models \perp$ ".

Conversely, suppose " $\text{not } \Gamma \models \perp$ ". This says there is **some** valuation which satisfies  $\Gamma$  but does **not** satisfy  $\perp$ . But all valuations don't satisfy  $\perp$ . So there is simply some valuation which satisfies  $\Gamma$ , i.e.  $\Gamma$  is satisfiable.



# Soundness vs. Completeness of a logical system

- **Soundness:** Every provable sequent is an entailment:

(for every  $\Gamma$  and  $\psi$ ):

$$\Gamma \vdash \psi \text{ implies } \Gamma \models \psi$$

- **Completeness:** Every valid sequent is provable:

(for every  $\Gamma$  and  $\psi$ ):

$$\Gamma \models \psi \text{ implies } \Gamma \vdash \psi$$



## Recall Definition of “Truth” for the Propositional Case

- an interpretation is a mapping from proposition symbols  $\{p, q, r, \dots\}$  to the set  $\{\mathbf{T}, \mathbf{F}\}$ .
- an interpretation  $v$  is **extended** to an arbitrary formulas inductively as follows:
  - $v(\top) = \mathbf{T}$ .
  - $v(\perp) = \mathbf{F}$ .
  - $v(\varphi \wedge \psi) = \mathbf{T}$  iff  $v(\varphi) = \mathbf{T}$  and  $v(\psi) = \mathbf{T}$ .
  - $v(\varphi \vee \psi) = \mathbf{T}$  iff  $v(\varphi) = \mathbf{T}$  or  $v(\psi) = \mathbf{T}$ .
  - $v(\varphi \rightarrow \psi) = \mathbf{T}$  iff  $v(\varphi) = \mathbf{F}$  or  $v(\psi) = \mathbf{T}$ .
  - $v(\neg \varphi) = \mathbf{T}$  iff  $v(\varphi) = \mathbf{F}$ .



# Proof of Soundness

- **Soundness:** Every sequent of Natural Deduction is an entailment:

(for every  $\Gamma, \psi$ ):

$\Gamma \vdash \psi$  implies  $\Gamma \models \psi$

and  $\Gamma \models \psi$  implies  $\Gamma \vdash \psi$

- Assume that  $\Gamma \vdash \psi$ , to show  $\Gamma \models \psi$ .
- This will be by **structural induction** on the proof tree of  $\psi$  from formulas in  $\Gamma$ .

# Contextual Representation of Natural Deduction Rules

- In the representation of natural deduction rules, the **context** of premises was assumed.
- For example, with  $\wedge$  introduction, premises that lead to  $\varphi$  and  $\psi$  in the proof are not shown explicitly.

$$\frac{\varphi \quad \psi}{\varphi \wedge \psi} \quad \wedge I$$

- For the soundness proof, however, it will be helpful to show the premises explicitly.
- So we restate this rule **with contexts** as follows:

$$\frac{\Gamma \vdash \varphi \quad \Delta \vdash \psi}{\Gamma, \Delta \vdash \varphi \wedge \psi} \quad \wedge I$$

Note:  $\Gamma, \Delta$  is shorthand for  $\Gamma \cup \Delta$ .

Note that it may be that  $\Gamma = \Delta$ , in which case  $\Gamma, \Delta$  is just  $\Gamma$ .



# Contextual Representation of Natural Deduction Rules

- The contextual form will have its advantages when temporary assumptions are involved, such as in the  $\rightarrow$ I rule:

$$\frac{\Gamma, \varphi \vdash \psi}{\Gamma \vdash \varphi \rightarrow \psi} \quad \rightarrow\text{I}$$

- Here the notation  $\Gamma, \varphi$  is shorthand for  $\Gamma \cup \{\varphi\}$ .



## ND Rules in Contextual Representation

	<b>Introduction</b>	<b>Elimination</b>
$\wedge$	$\frac{\Gamma \vdash \varphi \quad \Delta \vdash \psi}{\Gamma, \Delta \vdash \varphi \wedge \psi}$	$\frac{\Gamma \vdash \varphi \wedge \psi}{\Gamma \vdash \varphi} \quad \frac{\Gamma \vdash \varphi \wedge \psi}{\Gamma \vdash \psi}$
$\vee$	$\frac{\Gamma \vdash \varphi}{\Gamma \vdash \varphi \vee \psi} \quad \frac{\Gamma \vdash \psi}{\Gamma \vdash \varphi \vee \psi}$	$\frac{\Gamma \vdash \varphi \vee \psi \quad \Gamma, \varphi \vdash \xi \quad \Gamma, \psi \vdash \xi}{\Gamma \vdash \xi}$
$\rightarrow$	$\frac{\Gamma, \varphi \vdash \psi}{\Gamma \vdash \varphi \rightarrow \psi}$	$\frac{\Gamma \vdash \varphi \quad \Delta \vdash \varphi \rightarrow \psi}{\Gamma, \Delta \vdash \psi}$
$\neg$	$\frac{\Gamma, \varphi \vdash \perp}{\Gamma \vdash \neg \varphi}$	$\frac{\Gamma \vdash \varphi \quad \Gamma \vdash \neg \varphi}{\Gamma \vdash \perp}$
RAA $\perp$ E	$\frac{\Gamma, \neg \varphi \vdash \perp}{\Gamma \vdash \varphi}$	$\frac{}{\Gamma, \perp \vdash \varphi}$
$\top$	$\frac{}{\Gamma \vdash \top}$	



# Example of Box vs. Contextual Form

- 1:  $(E \wedge F) \rightarrow G$  premise
- 2:  $E$  assumption
- 3:  $F$  assumption
- 4:  $E \wedge F$   $\wedge$  intro 2,3
- 5:  $G$   $\rightarrow$  elim 1,4
- 6:  $F \rightarrow G$   $\rightarrow$  intro 3-5
- 7:  $E \rightarrow F \rightarrow G$   $\rightarrow$  intro 2-6

$$\begin{array}{c}
 \frac{E \vdash E \quad F \vdash F}{E, F \vdash E \wedge F} \quad \wedge I \quad \frac{E \wedge F \rightarrow G \vdash E \wedge F \rightarrow G}{(E \wedge F \rightarrow G), E, F \vdash G} \rightarrow E \\
 \frac{(E \wedge F \rightarrow G), E \vdash F \rightarrow G}{(E \wedge F \rightarrow G) \vdash E \rightarrow F \rightarrow G} \rightarrow I
 \end{array}$$

} **Contextual Rule Applications**



# Proof of Soundness

- We are proving:  $\Gamma \vdash \psi$  implies  $\Gamma \models \psi$ , meaning if there is a proof of  $\psi$  from  $\Gamma$ , then for any interpretation  $v$  such that  $v(\Gamma) = \mathbf{T}$ , also  $v(\psi) = \mathbf{T}$ .
- **Structural induction on the tree of the proof, which is either a single node, or a node adding to one or more sub-trees.**
- **Basis:** The simplest proof is a tree of **one node**. That node is justified in one of two ways:
  - The consequent of a rule with no antecedent.
  - A premise.
- The only rule of the first kind is  $\mathbf{T}$  introduction. But  $v(\mathbf{T}) = \mathbf{T}$ .
- In the second case, a premise must be in  $\Gamma$ :
  - If for each  $\varphi$  in  $\Gamma$ ,  $v(\varphi) = \mathbf{T}$  then also  $v(\psi) = \mathbf{T}$ , since  $\psi$  in  $\Gamma$ .
  - Hence  $\Gamma \models \psi$ .



# Proof of Soundness Continued

- **Induction Step: Adding a root combining one or more subtrees.**

- Suppose that all of the antecedents of a rule in sequent form satisfy the property. We need to show that the consequent satisfies the property as well.

- $\wedge$  Introduction rule:

$$\frac{\Gamma \vdash \varphi \quad \Delta \vdash \psi}{\Gamma, \Delta \vdash \varphi \wedge \psi}$$

- Assume that  $\Gamma \vdash \varphi$  implies  $\Gamma \models \varphi$ , and  $\Delta \vdash \psi$  implies  $\Delta \models \psi$ .

- We must show that  $\Gamma, \Delta \vdash \varphi \wedge \psi$  implies  $\Gamma, \Delta \models \varphi \wedge \psi$ .

- Assume  $\Gamma \vdash \varphi$ ,  $\Delta \vdash \psi$ , and thus  $\Gamma, \Delta \vdash \varphi \wedge \psi$ .

- Suppose  $v(\Gamma \cup \Delta) = T$ , to show  $v(\varphi \wedge \psi) = T$ .  
Then  $v(\Gamma) = T$  and  $v(\Delta) = T$ , so  
from the induction hypothesis,  $v(\varphi) = v(\psi) = T$ .  
Thus from the truth table for  $\wedge$ ,  $v(\varphi \wedge \psi) = T$ .



# Proof of Soundness Continued

- **Induction Step, Continued:**

- The steps for  $\wedge E$ ,  $\vee I$ ,  $\rightarrow E$ ,  $\neg E$ ,  $\perp E$  (ones that don't introduce assumptions) are analogous to that for  $\wedge I$ , and are left to the reader.

- $\rightarrow$  Introduction

$$\frac{\Gamma, \varphi \vdash \psi}{\Gamma \vdash \varphi \rightarrow \psi}$$

- Here  $\varphi$  is the assumption used in natural deduction, which is discharged at the end of the sub-proof.
- The induction hypothesis is that  $v(\Gamma \cup \{\varphi\}) = T$  implies  $v(\psi) = T$ .
- We must show  $v(\Gamma) = T$  implies  $v(\varphi \rightarrow \psi) = T$ .
- Suppose that  $v(\Gamma) = T$ .
  - If  $v(\varphi) = T$ , then  $v(\Gamma \cup \{\varphi\}) = T$ , and from the induction hypothesis,  $v(\psi) = T$ , so  $v(\varphi \rightarrow \psi) = T$  from the truth table for  $\rightarrow$ .
  - If  $v(\varphi) = F$ , then  $v(\varphi \rightarrow \psi) = T$  from the truth table for  $\rightarrow$ .
- The step for  $\vee E$  (which also introduce assumptions) is analogous to the above.



# Proof of Soundness Continued

- **Induction Step:**

- RAA

$$\frac{\Gamma, \neg\varphi \vdash \perp}{\Gamma \vdash \varphi}$$

- The induction hypothesis is that  $v(\Gamma \cup \{\neg\varphi\}) = T$  implies  $v(\perp) = T$ .
- But  $v(\perp) = F$  always, so  $v(\Gamma \cup \{\neg\varphi\}) = F$ .
- We must show  $v(\Gamma) = T$  implies  $v(\varphi) = T$ .
- Suppose that  $v(\Gamma) = T$ .
- Then  $v(\neg\varphi) = F$ , from  $v(\Gamma \cup \{\neg\varphi\}) = F$ .
- Then  $v(\varphi) = T$ , from the truth table for  $\neg$ .
- The step for  $\neg I$  is analogous to the above.
- This concludes the proof of the induction step.



# Uses of Soundness

- There is an algorithm for determining whether or not

$$\varphi_1, \dots, \varphi_n \models \psi$$

- Thus, one can compute a **necessary** condition of whether there is a proof of

$$\varphi_1, \dots, \varphi_n \vdash \psi$$

- In other words, before embarking on trying to find a proof of a formula, we can sometimes check whether the formula follows on semantic grounds first.



# Completeness

- Completeness says

(for all  $\Gamma, \psi$ )

$$\Gamma \models \psi \text{ implies } \Gamma \vdash \psi$$

- The general case will require a “non-constructive” proof, since  $\Gamma$  could be infinite.
- The case of  $\Gamma$  **finite** is special, and admits a constructive proof.



# Finite Completeness

- Finite completeness says (for all  $\varphi_1, \dots, \varphi_n, \psi$ )

$$\varphi_1, \dots, \varphi_n \models \psi$$

implies

$$\varphi_1, \dots, \varphi_n \vdash \psi$$

- **If** this could be established, then the algorithm mentioned for soundness would be a necessary and **sufficient** condition for the existence of a proof. Thus provability could be testable algorithmically.
- Our proof will be classical, as it will use LEM.



# Proof of Finite Completeness

Three steps are used:

1.  $\varphi_1, \dots, \varphi_n \models \psi$  implies  $\models (\varphi_1 \rightarrow (\varphi_2 \rightarrow \dots (\varphi_n \rightarrow \psi))) \dots$
2. For any formula  $\eta$ ,  $\models \eta$  implies  $\vdash \eta$ .  
[ $\eta$  could be  $(\varphi_1 \rightarrow (\varphi_2 \rightarrow \dots (\varphi_n \rightarrow \psi))) \dots$ , for example.]
3.  $\vdash (\varphi_1 \rightarrow (\varphi_2 \rightarrow \dots (\varphi_n \rightarrow \psi))) \dots$  implies  $\varphi_1, \dots, \varphi_n \vdash \psi$

**Step 2 is the key one**, as only it bridges the gap between  $\models$  and  $\vdash$ . The other two are simplifying steps, showing that we don't need to worry about the LHS of the turnstiles.

Steps 1 and 3 can be proved by induction on  $n$ .



## Proof that for all $\eta$

$\models \eta$  implies  $\vdash \eta$

- The proof is by structural induction on the form of  $\eta$ .
- Assume  $\models \eta$ . Let  $p_1, p_2, \dots, p_k$  be the set of all proposition symbols that occur in  $\eta$ .
- For each combination of proposition symbols with and without negation, we show that there is a sequent with that combination on the left and the formula of interest on the right:
  - $p_1, p_2, \dots, p_k \vdash \eta$
  - $\neg p_1, p_2, \dots, p_k \vdash \eta$
  - $p_1, \neg p_2, \dots, p_k \vdash \eta$
  - $\neg p_1, \neg p_2, \dots, p_k \vdash \eta$                       etc.
- Then those sequents will be combined into a single sequent of the required form.



# The Combination Process

- Because this constructs a derivation that is of length exponential in  $k$ , we will show it by example, for  $k = 2$ .
- Given that we have:
  - $p_1, p_2 \vdash \eta$
  - $\neg p_1, p_2 \vdash \eta$
  - $p_1, \neg p_2 \vdash \eta$
  - $\neg p_1, \neg p_2 \vdash \eta$
- The proof constructed for the single sequent is shown on the next page.



# Proof Constructed for the Single Sequent

1.	$p_1 \vee \neg p_1$	LEM (a derived rule)
2.	$p_1$	Assumption
3.	$p_2 \vee \neg p_2$	LEM
4.	$p_2$ ... steps in the proof of $p_1, p_2 \vdash \eta$	Assumption
5.	$\eta$	
6.	$\neg p_2$ ... steps in the proof of $p_1, \neg p_2 \vdash \eta$	Assumption
7.	$\eta$	
8.	$\eta$	$\vee E$ 3, 4-5, 6-7
9.	$\neg p_1$	Assumption
10.	$p_2 \vee \neg p_2$	LEM
11.	$p_2$ ... steps in the proof of $\neg p_1, p_2 \vdash \eta$	Assumption
12.	$\eta$	
13.	$\neg p_2$ ... steps in the proof of $\neg p_1, \neg p_2 \vdash \eta$	Assumption
14.	$\eta$	
15.	$\eta$	$\vee E$ 10, 11-12, 13-14
16.	$\eta$	$\vee E$ 1, 2-8, 9-15



# Proofs for the Individual Sequents

- We are left with showing that each of the individual sequents

- $p_1, p_2, \dots, p_k \vdash \eta$
- $\neg p_1, p_2, \dots, p_k \vdash \eta$
- $p_1, \neg p_2, \dots, p_k \vdash \eta$
- $\neg p_1, \neg p_2, \dots, p_k \vdash \eta$  etc.

has a proof, given that

- $\vdash \eta$ .



# Proofs for the Individual Sequents

- For any formula  $\eta$ , we want to show that  $\models \eta$  implies each of the individual sequents below has a proof

- $p_1, p_2, \dots, p_k \vdash \eta$
- $\neg p_1, p_2, \dots, p_k \vdash \eta$
- $p_1, \neg p_2, \dots, p_k \vdash \eta$
- $\neg p_1, \neg p_2, \dots, p_k \vdash \eta$  etc.

where  $p_1, p_2, \dots, p_k$  are the proposition symbols in  $\eta$ .

- Consider any combination  $p^*_1, p^*_2, \dots, p^*_k$  of the symbols negated or un-negated (e.g.  $\neg p_1, p_2, \dots, \neg p_k$ ) and the corresponding **assignment** that makes  $v(p^*_1 \wedge p^*_2 \wedge \dots \wedge p^*_k) = \mathbf{T}$ .

- **Lemma:**

A: If  $v(\eta) = \mathbf{T}$ , then  $p^*_1, p^*_2, \dots, p^*_k \vdash \eta$ .

B: If  $v(\eta) = \mathbf{F}$ , then  $p^*_1, p^*_2, \dots, p^*_k \vdash (\neg\eta)$ . Note the  $\neg$ .



## Proving

A. If  $v(\eta) = \mathbf{T}$  then  $p^*_1, p^*_2, \dots, p^*_k \vdash \eta$ .

B. If  $v(\eta) = \mathbf{F}$  then  $p^*_1, p^*_2, \dots, p^*_k \vdash (\neg\eta)$ .

- This is done by **structural induction** on the **structure** of the **formula**  $\eta$ .
- **Basis:** If  $\eta$  is a **single proposition symbol**  $p$ , then:
  - If  $v(p) = \mathbf{T}$ , then  $p^*$  must be  $p$ , and we certainly have  $p \vdash p$  (so A).
  - If  $v(p) = \mathbf{F}$ , then  $p^*$  must be  $\neg p$ , and we have  $\neg p \vdash \neg p$  (so B).
  - If  $\eta$  is  $\perp$ , then  $v(\perp) = \mathbf{F}$  always, but also  $\vdash \neg\perp$  (by  $\neg\text{-I}$ )(so B).
- **Induction Step:** We have to show that the inductive hypothesis implies the conclusion for each possible operator:  $\neg \wedge \vee \rightarrow$ .



## Case where $\eta$ is of form $\neg\rho$ for some $\rho$ :

- If  $v(\eta) = \mathbf{T}$ , then  $v(\rho) = \mathbf{F}$ . By the induction hypothesis, B:

$$p^*_1, p^*_2, \dots, p^*_k \vdash (\neg\rho),$$

i.e.

$$p^*_1, p^*_2, \dots, p^*_k \vdash \eta, \text{ (case A).}$$

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- If  $v(\eta) = \mathbf{F}$ , then  $v(\rho) = \mathbf{T}$ . By the induction hypothesis, A:

$$p^*_1, p^*_2, \dots, p^*_k \vdash \rho.$$

Using  $\neg\neg\mathbf{I}$  to extend the proof one step, we have

$$p^*_1, p^*_2, \dots, p^*_k \vdash \neg(\neg\rho).$$

Therefore

$$p^*_1, p^*_2, \dots, p^*_k \vdash \neg\eta, \text{ (case B).}$$

## Case where $\eta$ is of form $\rho_1 \wedge \rho_2$

- We need to consider 4 cases:

$v(\rho_1, \rho_2) = \mathbf{FF}, \mathbf{FT}, \mathbf{TF},$  and  $\mathbf{TT}.$

- If  $v(\rho_1) = \mathbf{F}$ :

By the induction hypothesis

$p^*_1, p^*_2, \dots, p^*_k \vdash (\neg\rho_1)$

Using ND rules, we get, in a few more ND steps, a proof of

$p^*_1, p^*_2, \dots, p^*_k \vdash \neg(\rho_1 \wedge \rho_2)$

This conforms to the fact that  $v(\rho_1 \wedge \rho_2) = \mathbf{F}$  (case B).

- A similar argument applies if  $v(\rho_2) = \mathbf{F}.$
- 

- If  $v(\rho_1) = v(\rho_2) = \mathbf{T}$ , we have by the induction hypothesis

$p^*_1, p^*_2, \dots, p^*_k \vdash \rho_1$

$p^*_1, p^*_2, \dots, p^*_k \vdash \rho_2$

These proofs can be combined using  $\wedge I$  to get a proof of  $\rho_1 \wedge \rho_2$  (case A).

- **The cases for the other operators ( $\vee, \rightarrow$ ) are similar.**



# Algorithm-Based Proof

- The proof just outlined is sufficiently constructive that we can create an algorithm from it:
- Given a tautology  $\eta$ , generate a natural deduction proof of  $\eta$ .
- In some sense, such an algorithmic proof is useful, in that it can be actively tested for examples, unlike an ordinary proof.



# Completeness in the General Propositional Case: Consistency

- **Definition:** A set of formulas  $\Gamma$  is **consistent** provided

$$\text{not } \Gamma \vdash \perp.$$

- Note the parallel:
  - **Consistency** of  $\Gamma$ : Not  $\Gamma \vdash \perp$ .
  - **Satisfiability** of  $\Gamma$ : Not  $\Gamma \models \perp$ .



# Lemma A

- For any  $\Gamma, \varphi$

$$\Gamma \vdash \varphi \quad \text{iff} \quad \Gamma \cup \{\neg\varphi\} \vdash \perp$$

- Proof:
  - Suppose  $\Gamma \vdash \varphi$ . Then  $\Gamma \cup \{\neg\varphi\} \vdash \varphi$   
(since if  $\Gamma \subseteq \Gamma'$  and  $\Gamma \vdash \varphi$ , then  $\Gamma' \vdash \varphi$ ).  
Also  $\Gamma \cup \{\neg\varphi\} \vdash \neg\varphi$ . So  $\Gamma \cup \{\neg\varphi\} \vdash \perp$  by  $\neg E$ .
  - Suppose  $\Gamma \cup \{\neg\varphi\} \vdash \perp$ . Then by RAA,  $\Gamma \vdash \varphi$ .



## Lemma B

- For any  $\Gamma, \varphi$

$$\Gamma \models \varphi \quad \text{iff} \quad \Gamma \cup \{\neg\varphi\} \models \perp.$$

- Proof:

- Suppose  $\Gamma \models \varphi$ . Suppose  $v$  is an assignment such that  $v(\Gamma \cup \{\neg\varphi\}) = \text{T}$ . Then  $v(\Gamma) = \text{T}$  and  $v(\neg\varphi) = \text{T}$ . But, by the supposition, also  $v(\varphi) = \text{T}$ , giving a contradiction.

Thus there is thus no such  $v$ , i.e.  $\Gamma \cup \{\neg\varphi\} \models \perp$ .

- Conversely, suppose  $\Gamma \cup \{\neg\varphi\} \models \perp$ . Then there is no assignment satisfying both  $\Gamma$  and  $\neg\varphi$ . Thus any assignment  $v$  satisfying  $\Gamma$  cannot also satisfy  $\neg\varphi$ , i.e.  $v(\neg\varphi) = \text{F}$ , giving  $v(\varphi) = \text{T}$ . Hence  $\Gamma \models \varphi$ .



# Lemma C

- The following are equivalent:
  - a) Completeness.
  - b) For all  $\Gamma$ , for all  $\varphi$ ,  $\Gamma \models \varphi$  implies  $\Gamma \vdash \varphi$ .
  - c) For all  $\Gamma$ , for all  $\varphi$ ,  $\Gamma \models \perp$  implies  $\Gamma \vdash \perp$ .
  - d) For all  $\Gamma$ , for all  $\varphi$ , not  $\Gamma \vdash \perp$  implies not  $\Gamma \models \perp$ .
  - e) For all  $\Gamma$ ,  $\Gamma$  is consistent implies  $\Gamma$  has a model.
- Proof:
  - (b) is a restatement of (a).
  - (c) iff (b) is by Lemmas A and B.
  - (d) is the contrapositive of (c).
  - (e) is a restatement of (d).



# General Completeness Theorem

(for  $\Gamma$  not-necessarily finite)

- We have shown that completeness is equivalent to:
- (For all  $\Gamma$ )  
     $\Gamma$  consistent implies  $\Gamma$  satisfiable.
- Sketch of the proof of the above:

We start with a  $\Gamma_0$  that is consistent, to eventually show there is an interpretation satisfying  $\Gamma_0$ .

The rules will get used in showing this.



## Sketch, continued

- First we extend  $\Gamma_0$  to a maximally consistent set  $\Gamma_{\max}$ :
  - Let  $\Gamma$  be  $\Gamma_0$ .
  - Enumerate every possible formula  $\varphi$ . At each step:
    - If  $\Gamma \cup \{\varphi\}$  is consistent,  $\Gamma$  becomes  $\Gamma \cup \{\varphi\}$ .
  - The limit of this process is  $\Gamma_{\max}$ , the union of the chain of individual consistent sets.
- Then show that  $\Gamma_{\max}$  is consistent, and in fact, maximally consistent.



# Sketch, continued

- $\Gamma_{\max}$  **is consistent**, because at no step did we add a formula that would destroy its consistency.
- It is maximally consistent because it can be shown to be **closed under derivability**:  
If  $\Gamma_{\max} \vdash \varphi$ , then in fact  $\varphi \in \Gamma_{\max}$ .
- We then show that any maximally consistent set has an interpretation satisfying it. **Define an interpretation**  $\nu$  as follows:
  - For each proposition symbol  $p$ , if  $p \in \Gamma_{\max}$  then  $\nu(p) = T$ , otherwise  $\nu(p) = F$ .
- Then argue that  $\nu$  satisfies  $\Gamma_{\max}$  using closure under derivability.
- Finally  $\nu$  also satisfies  $\Gamma_0$ , since  $\Gamma_0 \subseteq \Gamma_{\max}$ .



# Predicate Calculus form of the Completeness Theorem

- Parallels the propositional case in many ways:
  - Construct a maximally-consistent set from the original consistent set.
  - Construct a model for the maximally-consistent set.



# How to construct a model out of nothing but formulas?

- Define a universe (called the **Herbrand Universe**), the members of which are terms.
- Example: If there is one constant symbol  $c$  and one binary function symbol  $f$ , then the Herbrand universe is

$$\{c, f(c, c), f(c, f(c, c)), f(f(c, c), c), \dots \}$$



# Model construction, continued

- Create an interpretation with the Herbrand universe as its universe:
  - The function symbols are interpreted in the “obvious” way.
  - The predicate symbols are interpreted so as to **agree with atomic formulas** in the maximally-consistent set.
  - New constants (called **Henkin-constants**) are introduced into the language to provide constants that **solve  $\exists$ -formulas**. However, these constants do not change the derivability of expressions in the original language.
  - It is then shown that this interpretation is a model for the original formulas.



## First-Order Theories vs. Frameworks

- The natural deduction **framework** is both sound and complete.
- However, there are first-order **theories** that are incomplete.
- This may be the source of some confusion.



# Theories

- A **theory** is a set of formulas closed under derivability. The formulas are called **theorems**.
- Usually the set is based on a smaller set of **axioms**.
- The set of axioms may be:
  - Finite
  - Computable
  - or neither, but the first two are the most useful.



# Examples of a Theory

- Peano axioms
- Peano arithmetic (PA), included  $+$ ,  $\times$
- Theory of groups
- Set theory (e.g. ZFC)



# Completeness of a Theory

- A *theory* is **complete** if, for any closed formula, either:
  - The formula is provable, or
  - The negation of the formula is provable.
- Sometimes, this form of completeness is called “negation-completeness”, to separate it from the completeness of the framework.



# Gödel's Theorems

- Gödel was the first to show that first-order logic is a **complete** framework: Every valid (true in all interpretations) statement is provable.
- Gödel later showed that number theory is **incomplete** (provided the theory itself is consistent):

There are true statements in number theory that are not provable in that theory.

Gödel's proof showed how to construct such statements.

- Gödel then showed that the **consistency** of number theory can't be proved within number theory itself ("second incompleteness theorem").



# Decidable Theories

- A theory is **decidable** if there is an algorithm that will tell whether or not any given formula is a theorem.
- Complete (+ recursively axiomatized)  $\Rightarrow$  Decidable
- A corollary of Gödel's first incompleteness theorem is that number theory is **undecidable**. (because it is recursively-axiomatized, but incomplete).