

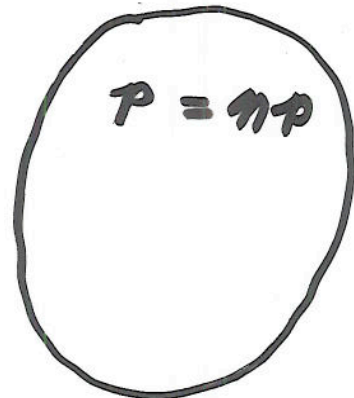
# P, NP, and Beyond...

The world looks like...



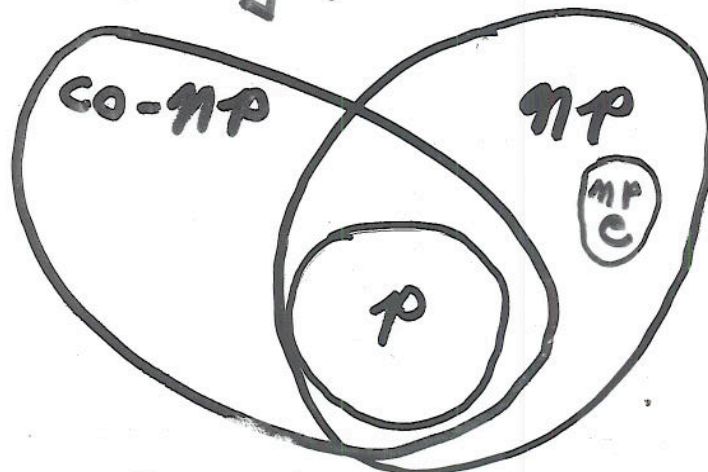
(If  $P \neq NP$ )

or



(If  $P = NP$ )

But actually it's even more interesting!



$$3SAT = \{ S \mid S \text{ is satisfiable} \}$$

$$\overline{3SAT} = \{ S \mid S \text{ is not satisfiable} \}$$

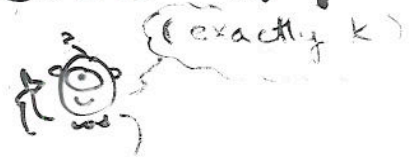
# Oracles & The Polynomial Hierarchy !

(aka "Really hard problems, Really Really hard problems, Really Really Really hard problems...")

## The Largest Clique Problem (LCP)

Input: Graph  $G=(V,E)$  and parameter  $k$ .

Question: Does the largest clique in  $G$  have size  $k$ ?



[Stockmeyer, 1976]

## Minimum Equivalent Expression (MEE)

$T, F, \neg$  variables connected with  $\vee, \wedge, \neg$ , and  $\rightarrow$

Input: A well-formed boolean expression  $E$  & a parameter  $k$ .

Example

$$x_1 \rightarrow (x_1 \vee \bar{x}_1)$$

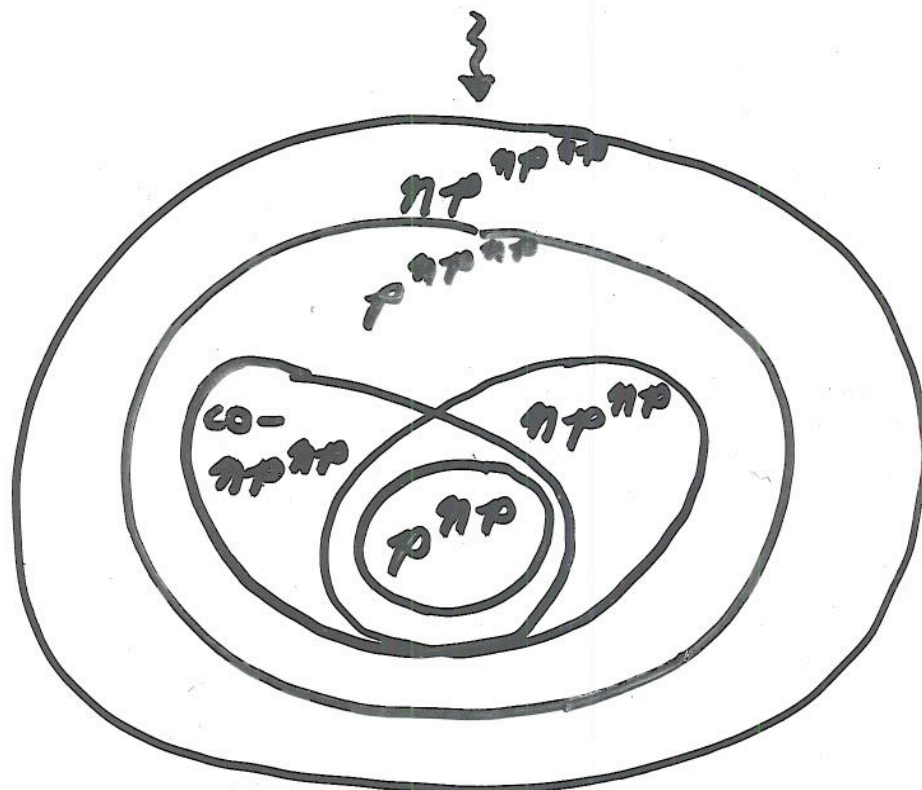
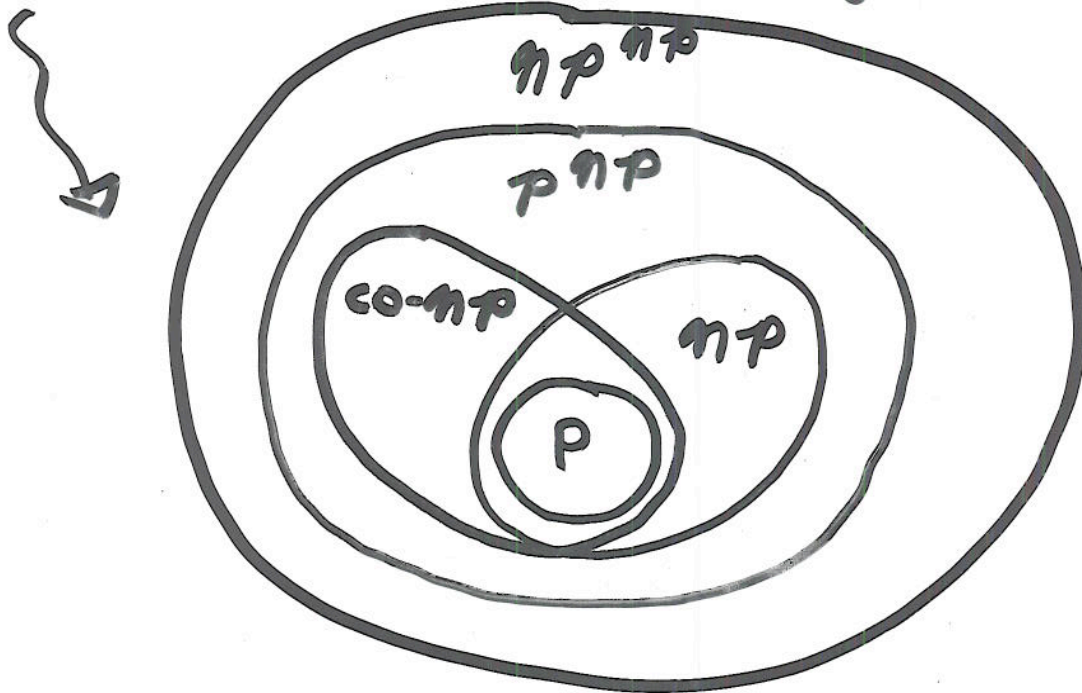
$$(x_1 \vee x_2) \wedge (x_1 \vee \bar{x}_2)$$

Question: Does there exist a well-formed boolean expression  $E'$  which contains at most  $k$  occurrences of literals s.t.  $E$  and  $E'$  are logically equivalent



# A Revised Picture of the World...

## The "Polynomial Hierarchy"





$PSPACE = \{ L \mid L \text{ is accepted}$

by a deterministic TM that uses at most  $p(|w|)$  tape cells to decide  $w^{\epsilon^*}$ , for some polynomial  $p(n)$ . (single tape)

M always halts!

Claim 1:  $P \in PSPACE$

Claim 2:  $NP \in PSPACE$

Claim 3:  $co-NP \in PSPACE$

Claim 4:  $P^{NP} \in PSPACE$

Claim 5:  $NP^{NP} \in PSPACE$

⋮



I claim that this game gets old fast!

# $\mathcal{PSPACE}$ Completeness

Definition:

A language  $L$   
("decision problem") is  
 $\mathcal{PSPACE}$  complete if



1.

2.

Lemma ("The significance of  $\mathcal{PSPACE}$  completeness lemma")

If  $L$  is  $\mathcal{PSPACE}$  complete  
and  $L \in \mathcal{P}$  then...

# Quick Quantification Questions

$$\exists x_1 \forall x_2 (x_1 \vee x_2)$$

$$\exists x_1 \forall x_2 (x_1 \wedge x_2)$$

$$\exists x_1 \forall x_2 (x_1 \wedge x_2) \vee (\bar{x}_1 \wedge \bar{x}_2)$$



$$\forall x_1 \exists x_2 (x_1 \wedge x_2) \vee (\bar{x}_1 \wedge \bar{x}_2)$$

# PSPACE - complete Problems

## Quantified Boolean Formula (QBF)

(Stockmeyer 1973)



Just 2 years after Cook-Levin!

Boolean exp. using  
 $\wedge, \vee, \neg$

$$\exists x_1 \dots \exists x_\ell \forall x_{\ell+1} \dots \exists \dots \forall x_m E(x_1, \dots, x_m)$$

## A variant of QBF (Q3SAT)

$$\underbrace{\exists x_1 \forall x_2 \exists x_3 \forall x_4 \dots \exists x_\ell}_{\substack{\text{quantifiers} \\ \text{alternate} \\ \text{between } \exists \text{ and } \forall}} \underbrace{E'(x_1, \dots, x_\ell)}_{\substack{\text{in 3SAT} \\ \text{form}}}$$

## Geography

Instance:

$C = \{ \text{Albania, Algeria, Alabama, Afghanistan, Liberia} \}$

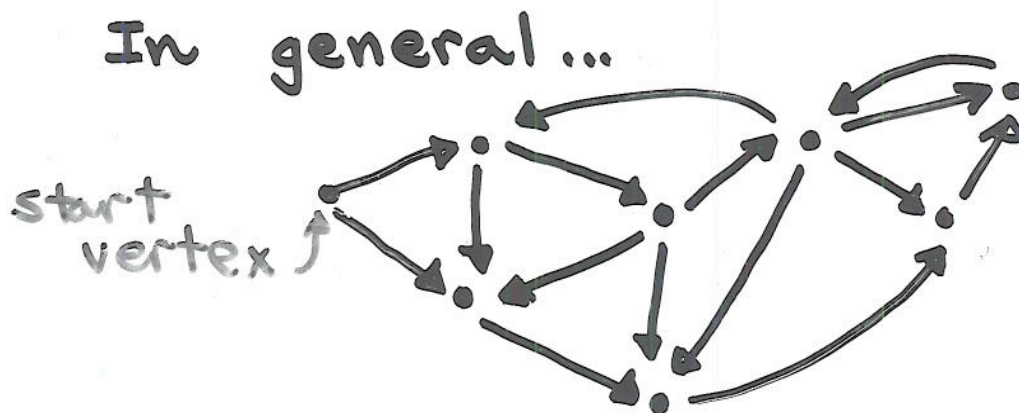
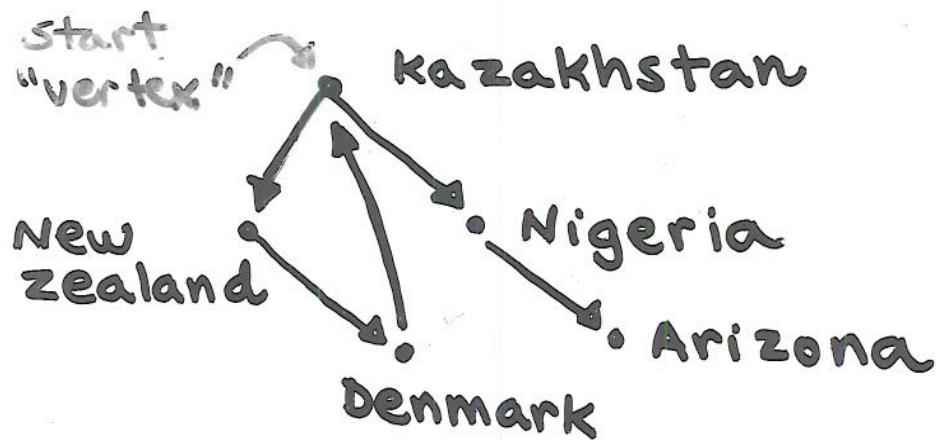
start position.

$\rightarrow \{ \text{Nepal, Norway, Yemen} \}$

Question: Does there exist a winning strategy for player #1?

# PSPACE - Completeness of Generalized Geography!

← start country  
{ Kazakhstan, Nigeria,  
New Zealand, Arizona,  
Denmark }



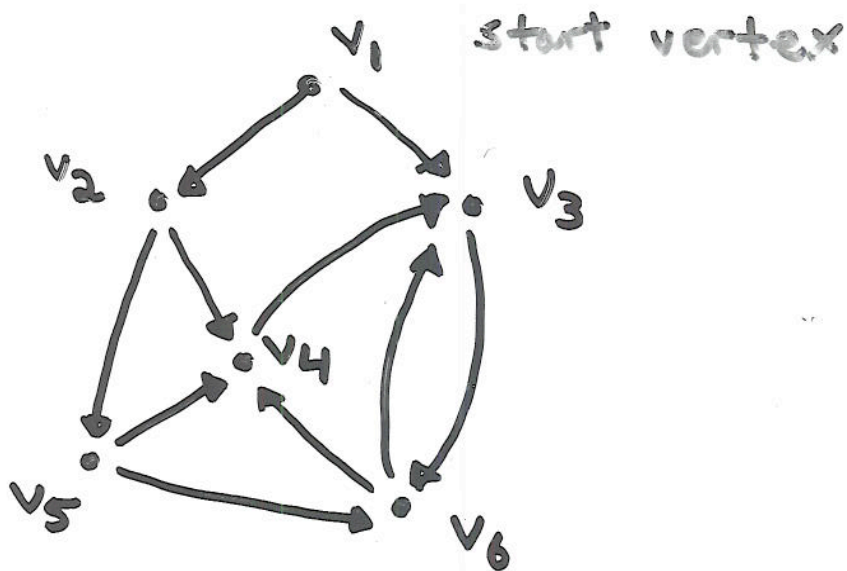


Claim: Generalized  
Geography is PSPACE-complete.

Proof:

1.  $GG \in \text{PSPACE}$

2.  $\text{Q3SAT} \leq_p GG$

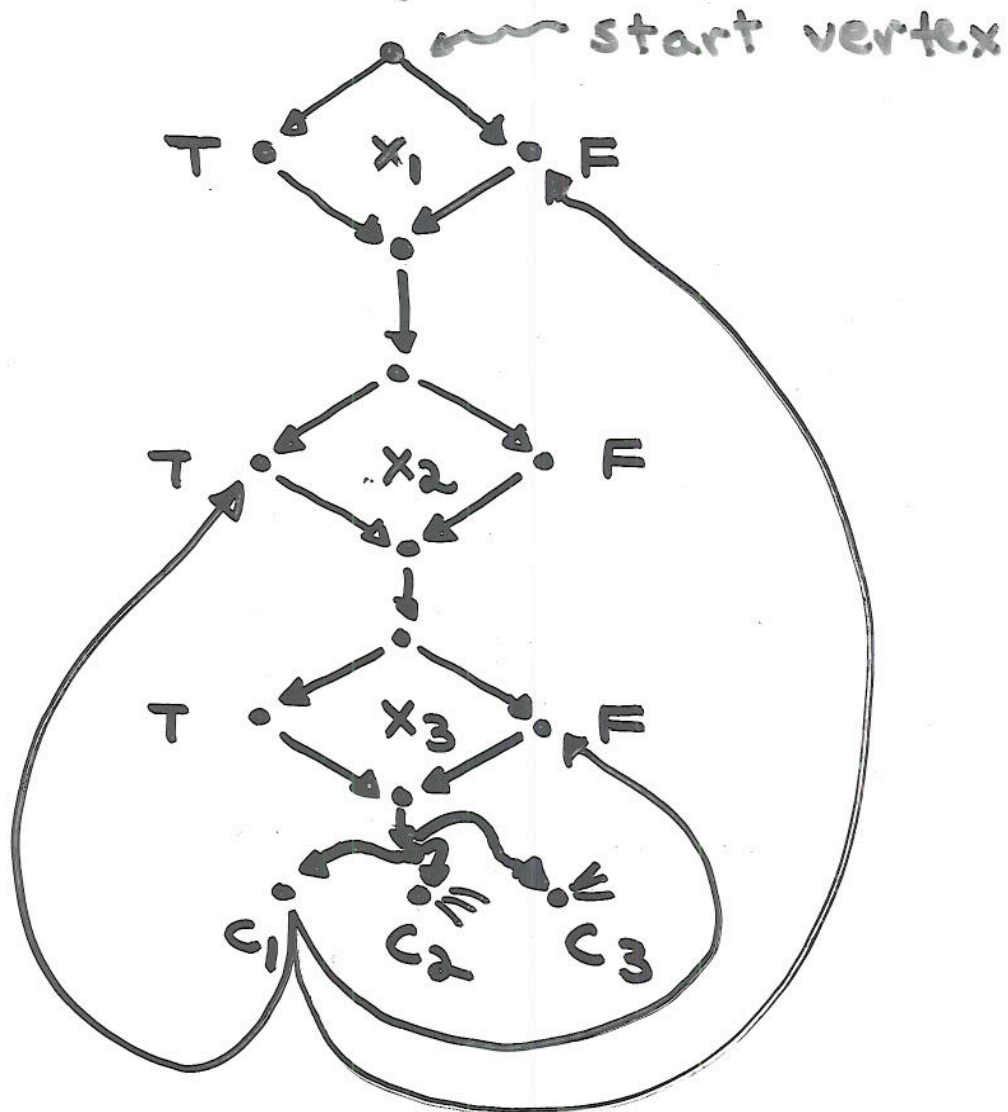


## 2. Q3SAT $\leq_p$ GG

$$\exists x_1, \forall x_2, \exists x_3$$

$$(x_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3)$$

poly-time



QBF is PSPACE-complete!

Show...

1. QBF  $\in$  PSPACE

2.  $\forall L_i \in$  PSPACE

$L_i \leq_p$  QBF

Claim: QBF  $\in$  PSPACE

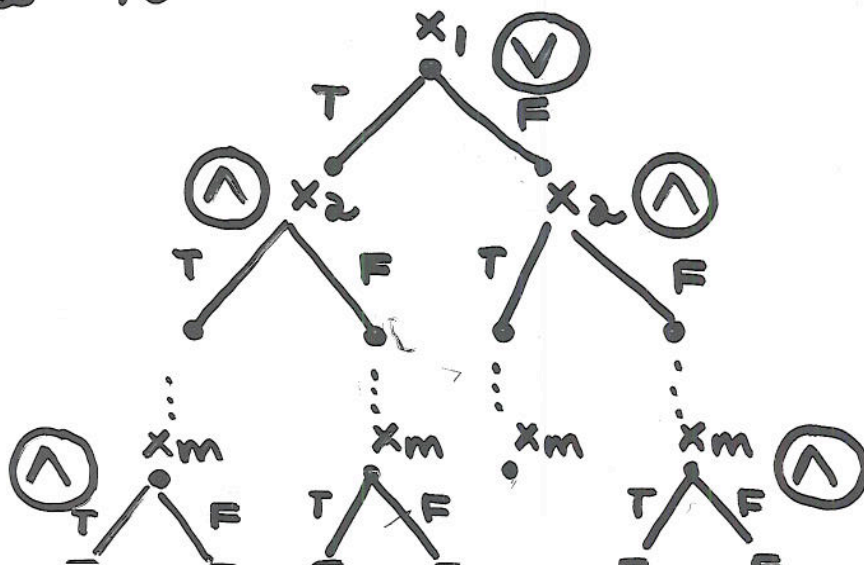
$\exists x_1 \forall x_2 \forall x_3 \exists x_4 \dots \forall x_m$

$(\bar{x}_1 \wedge x_2) \rightarrow ((x_3 \wedge x_4) \vee \bar{x}_5)$

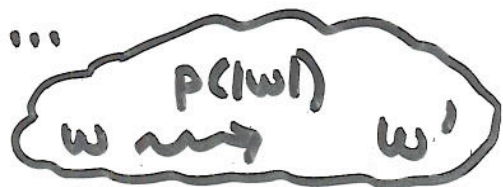
...

$E(x_1, x_2, \dots, x_m)$

total length  
of problem  
instance =  $n$



Let's try to prove that QBF is PSPACE complete using a "Cook-Levin-type" technique...



Goal:  $\forall L_i \in \text{PSPACE}, L_i \leq_p \text{QBF}$

Technique: If  $L_i \in \text{PSPACE} \exists$   
a deterministic TM  $M =$   
 $(Q, \Sigma, \Gamma, \delta, q_{\text{start}}, q_{\text{accept}}, q_{\text{reject}})$   
s.t.  $M$  decides words in  
 $P(n)$  space.

A thought bubble containing the text "M definitely always halts!". An arrow points from the bubble to the underlined "space" in the previous block.

Introduce variables ...

$H_{i,j}$  At time  $i$ , head of  $M$  is at position  $j$  on tape.

$Q_{i,j}$  At time  $i$ , state of  $M$  is  $q_j$ .

$S_{i,j,k}$  At time  $i$ , cell  $j$  contains character  $\sigma_k \in \Gamma$ .



# Larry Stockmeyer's Ingenious "Trick"

- If  $L_i \in PSPACE$  then  $\exists$  a deterministic poly-space TM  $M = (Q, \Sigma, \Gamma, \delta, q_1, q_2, q_3)$  s.t.  $\mathcal{L}(M) = L_i$ .
  - $p(n)$  (poly-space)
  - $Q$  (k states)
  - $q_1$  (start)
  - $q_2$  (accept)
  - $q_3$  (reject)
  - $\Sigma$  (g symbols)

- A configuration is a sequence of boolean variables:

$H_1, \dots, H_{p(n)}$ ,  $\leftarrow$  head position

$Q_1, \dots, Q_k$ ,  $\leftarrow$  state

$S_{i,j}$   $1 \leq i \leq p(n)$  (position)

$1 \leq j \leq g$

symbol index from  $\Gamma$

$$w = \sigma_{i_1} \sigma_{i_2} \sigma_{i_3} \dots \sigma_{i_n}$$

$$\text{INIT}(C) =$$

$$(H_1) \wedge (Q_1) \wedge$$

$$(S_{1, i_1}) \wedge (S_{2, i_2}) \wedge \dots \wedge (S_{n, i_n})$$

$$\wedge (S_{n+1, 1}) \wedge \dots \wedge (S_{p(n), 1})$$

↑  
blank index



$$\text{ACCEPT}(C) = Q_2$$

LEGIT(C) =

$(H_1 \vee H_2 \vee \dots \vee H_{p(n)}) \wedge$

$(\overline{H_i} \vee \overline{H_j}) \wedge$

all  $i \neq j$

$(Q_1 \vee Q_2 \vee \dots \vee Q_k) \wedge$

$(\overline{Q_i} \vee \overline{Q_j}) \wedge$

all  $i \neq j$

$(S_{1,1} \vee S_{1,2} \vee \dots \vee S_{1,g}) \wedge$

$(S_{2,1} \vee S_{2,2} \vee \dots \vee S_{2,g}) \wedge$

⋮

$(S_{p(n),1} \vee S_{p(n),2} \vee \dots \vee S_{p(n),g}) \wedge$

$(\overline{S_{1,i}} \vee \overline{S_{1,j}}) \wedge$

all  $i \neq j$

⋮

$(\overline{S_{p(n),i}} \vee \overline{S_{p(n),j}})$

we'll build  $\alpha_i(c_1, c_2)$   
 recursively...

$$\alpha_0(c_1, c_2) =$$

$H_1, H_2, \dots, H_{p(n)}, Q_1, Q_2, \dots, Q_k, S_{i,1}, S_{i,j}, \dots$   
 $1 \leq i \leq p(n), 1 \leq j \leq g$

$H_1, H_2, \dots, H_{p(n)}, Q_1, Q_2, \dots, Q_k,$   
 $S_{i,1}, S_{i,j}, \dots$   
 $1 \leq i \leq p(n), 1 \leq j \leq g$

either  $c_1 = c_2 \dots$

How big is this?

$$\begin{aligned}
 & [ (H_1 \wedge \bar{H}_1) \vee (\bar{H}_1 \wedge H_1) ] \wedge \\
 & [ (H_2 \wedge \bar{H}_2) \vee (\bar{H}_2 \wedge H_2) ] \wedge \dots \\
 & \dots \wedge [ (S_{p(n),g} \wedge \bar{S}_{p(n),g}) \vee (\bar{S}_{p(n),g} \wedge S_{p(n),g}) ]
 \end{aligned}$$

... or  $c_1 \xrightarrow{1} c_2 \dots$

deterministic!!! ☺

$$\wedge \left[ \left( H_\ell \wedge Q_c \wedge S_{\ell,d} \right) \rightarrow \left( Q_e \wedge S_{\ell,f} \wedge H_{\ell+1} \right) \right]$$

one for every  $1 \leq \ell \leq p(n)$

$\wedge$  all symbols in  $c_1$  and  $c_2$  match everywhere else

How big is this?



for  $j \geq 1$

$$\alpha_j (c_1, c_2) =$$

$$\exists c' [ \text{LEGIT}(c') \wedge \\ \alpha_{j-1}(c_1, c') \wedge \\ \alpha_{j-1}(c', c_2) ]$$