



# Computation Histories

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## What is this?

- A computation history (CH) is a recording of the history of a Turing-machine computation.

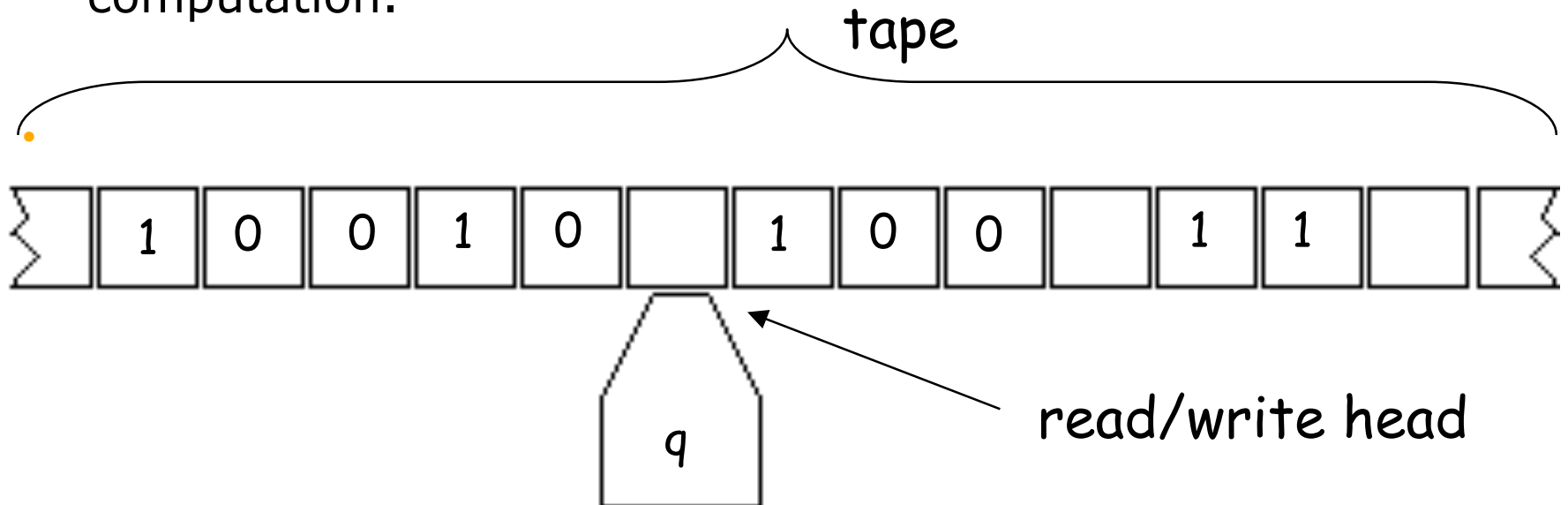


## Of what use is it?

- Using CH's we can demonstrate that various problems are undecidable.
- These problems would be difficult to show using, say, mapping reduction.

# State of a Turing Machine

- The total **state** (often called a “configuration” to distinguish it from the control state) represents everything that needs to be known about the machine to continue the computation.



control, in control state  $q$



# Capturing States as Strings

- We have seen this before:
- A state can be represented as a string  $xqy \in \Gamma^*$   
where
  - $\Gamma$  is the tape alphabet
  - $x$  is the tape to the left of the head
  - $q$  is the control state
  - $y$  is the tape under and to the right of the head



# Computation History

- As the TM computes, the states make transitions:

$$x_1q_1y_1 \Rightarrow x_2q_2y_2 \Rightarrow x_3q_3y_3 \Rightarrow \dots$$

- A deterministic machine will eventually either:
  - **Halt**: Reach a state  $xq_hy$  where no further transition is defined, or
  - **Diverge**: Never reach such a state, which means it goes on forever.



# Encoding An Entire History

- **In the halting case**, the entire history is encodable as a **single string**:

$$x_1q_1y_1 \Rightarrow x_2q_2y_2 \Rightarrow x_3q_3y_3 \Rightarrow \dots x_hq_hy_h$$

where we consider  $\Rightarrow$  to be a symbol in a larger alphabet.

- Usually  $\#$  is used in place of  $\Rightarrow$ , maybe because its easier to type.



# Checking a History with a TM

- There is a Turing machine  $C$  (checker) that, with input  $\langle M, h \rangle$ , where  $\langle M \rangle$  is an encoding of an arbitrary TM, can check whether  $h$  is a history of  $M$ .
- All  $C$  needs to do is see if the symbols around the head of each state change correspond to the transition table of  $M$ .
- Further more,  $C$  can check whether  $h$  is a halting (or an accepting) history by looking at the last control state.



## Checking a History with a TM

- $x_1 a_1 q_1 b_1 y_1 \Rightarrow x_2 a_2 q_2 b_2 y_2 \Rightarrow x_3 a_3 q_3 b_3 y_3 \Rightarrow \dots$   
where each  $a_i, b_i \in \Gamma$

Do the local transitions  $a_i q_i b_i \rightarrow a_{i+1} q_{i+1} b_{i+1}$  correspond to the rules in the description?

Do the other parts of the string match?

A TM can check these things.



# LBAs

- In fact, the CH can be checked for validity by a less-than-general TM called an LBA.
- LBA = “Linear Bounded Automaton”, a TM that can never exceed the amount of tape on which the input is written.
- An LBA can have a tape alphabet that is bigger than the input alphabet, so it can effectively “mark” tape cells, etc. It just can’t **grow** its tape.



## LBA vs. DFA

- At first glance, it might appear that an LBA is no more powerful than a DFA, since it cannot add new states.
- The difference is, however, that an LBA's total state set is a (linear) **function of the input string size**, which is not true for a DFA.



## An Aside: 2-way DFAs

- If the LBA never writes on its tape, then it becomes a 2-way DFA.
- In this case, the power is reduced to that of a DFA: 2-way DFA's only accept regular languages.
- The proof of this is non-trivial, and surprising. It involves the Myhill-Nerode theorem.



## LBA for CH checking

- It is easy to see that an LBA can check a CH for correctness.
- It is also easy to see that no DFA could do this. It would require “matching” arbitrarily-long sub-strings. The Myhill-Nerode theorem or the pumping lemma could be used to prove this.



## $A_{\text{LBA}}$ is the Acceptance Language for LBAs

- Define  $A_{\text{LBA}} =$

$$\{ \langle M, w \rangle \mid M \text{ is an LBA} \wedge M \text{ accepts } w \}$$



## Theorem: $A_{LBA}$ is decidable.

- Proof: Given an LBA encoding with tape  $\langle M, w \rangle$ , we can simulate  $M$  on  $w$ .
  - The maximum number of distinct tape states is  $n^{|w|}$ , where  $n$  is tape alphabet size and  $|w|$  means the length of  $w$ .
  - The number of different head positions is  $1 + |w|$ .
  - The number of control states is  $m$ , say.
  - So the total number of different states of  $M$  for an input  $w$  is  $mn^{|w|}(1 + |w|)$ .
- (continued)



## Proof that $A_{LBA}$ is decidable (cont'd)

- For each step in the simulation of  $M$  on  $w$ , we increment the count, having started at 0.
- If  $M$  on  $w$  is still computing after  $mn^{|w|}(1 + |w|)$  steps, we know that it is in a loop. So the simulating machine can **reject**  $\langle M, w \rangle$  if this happens.
- The simulating machine will otherwise accept or reject  $\langle M, w \rangle$ , depending on what happens with  $M$  on  $w$ .
- Hence there is a TM that can decide  $A_{LBA}$ .



## Theorem: $E_{\text{LBA}}$ is *undecidable*.

- Define the Emptiness Language  $E_{\text{LBA}} = \{ \langle M \rangle \mid M \text{ is an LBA} \wedge L(M) = \emptyset \}$

Proof: We show  $A_{\text{TM}} \leq_m E_{\text{LBA}}^c$ .

Define  $f(\langle M, w \rangle) = M'$ , where  $M'$  is an LBA that accepts the **accepting computation histories** for  $M(w)$ . Then  $L(M') \neq \emptyset$  iff  $\langle M, w \rangle \in A_{\text{TM}}$ .



# $ALL_{CFG}$ is undecidable

- Define  $ALL_{CFG} =$

$$\{ \langle G \rangle \mid G \text{ is a CFG} \wedge L(G) = \Sigma^* \}$$

We know that for every CFG, there is a corresponding PDA that accepts the same language.

We show that PDA's can also, in a sense, check computation histories.

The halting computation of a TM will be identified with the **absence** of a string accepted by the PDA.



## Proof of $ALL_{CFG}$ is undecidable

- For any TM and input  $\langle M, w \rangle$  we construct a PDA that accepts all strings that are **not** valid computation histories.
- Thus, if the PDA accepts all strings, then  $M$  does not halt on  $w$ .



## Proof of $ALL_{CFG}$ is undecidable

- We represent the computation histories slightly differently in this case:  
Every other state is reversed.
- Originally:  $x_1 a_1 q_1 b_1 y_1 \Rightarrow x_2 a_2 q_2 b_2 y_2 \Rightarrow x_3 a_3 q_3 b_3 y_3 \Rightarrow \dots$
- Now  $x_1 a_1 q_1 b_1 y_1 \# \overline{x_2 a_2 q_2 b_2 y_2} \# x_3 a_3 q_3 b_3 y_3 \# \dots$
- where overbar represents the reversal of the string below.



# Why reverse?

- Reversing every other state enables two successive states to be checked by a PDA.
- The PDA, given an input, checks one of these (non-deterministically):
  - Does the input **not** begin with the initial state of  $\langle M, w \rangle$ ? If not, **accept**.
  - Does the input **not** end with an accepting state of  $\langle M, w \rangle$ ? If not, **accept**.
  - Starting at any one of the sections  $C_i \# C_{i+1}$  or  $C_i \# C_{i+1}$ , do the two sections **not** represent consecutive states. If not, **accept**.
- So a string is **accepted** iff it does **not** represent a valid computation history.
- Thus the PDA **accepts**  $\Sigma^*$  iff  $M(w)$  does not have an accepting computation history.



## Corollary: $EQ_{CFG}$ is undecidable

- Define  $EQ_{CFG} =$

$\{ \langle G, H \rangle \mid G \text{ and } H \text{ are CFG's } \wedge L(G) = L(H) \}$

Proof: Show  $ALL_{CFG} \leq_m EQ_{CFG}$ .