

# Reductions and Undecidability

November 21, 2011

CS 81: Computability & Logic

*An engineer and a mathematician are out for a walk when they spot a house on fire. There is a hose lying nearby; the engineer quickly attaches it to a fire hydrant and puts out the fire. They continue walking. The mathematician spots a house where there is a hose attached to a hydrant. He detaches the hose and sets the house on fire, thus reducing it to a previously solved problem.*

## RECALL: TMS AND LANGUAGES

- ✓ A TM **accepts** a string if that input leads to the “**y**” state.
- ✓ A language is **semidecidable** (a.k.a. semidecidable, recursively enumerable) if there is a TM that accepts exactly the strings in the language.
- ✓ A language is **decidable** (a.k.a. recursive) if it is accepted by a TM that always halts (i.e., the TM always ends up in **y** or **n**).

## RECALL: DECIDABLE VS. SEMIDECIDABLE

- ✓ If a language is *decidable*, then its complement is *decidable*. Why?
- ✓ If a language is *semidecidable*, and its complement is *semidecidable*, then the language is *decidable*. Why?

## TURING MACHINES AS ENUMERATORS

Several variant definitions. Each specify a language  $L$ .

1. A TM that prints out all the members of  $L$ , one at a time (but not necessarily in any particular order)
2. A TM that prints out all the members of  $L$ , one at a time (but...) with arbitrarily many repeats.
3. A TM that, given an integer  $n$ , returns the  $n$ th element of a sequence like (1) above.
4. A TM that, given an integer  $n$ , returns the  $n$ th element of a sequence like (2) above.

For a fixed language, all these are interconvertible.

### Theorem

*A language is semidecidable  $\iff$  it can be enumerated.*

*A language is decidable  $\iff$  it can be enumerated in lexicographic order.*

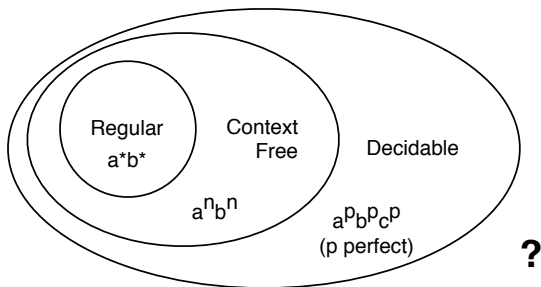
# CHURCH-TURING THESIS

If it can be done at all, then it can be done by

- ✓ A Turing Machine
- ✓ Lambda Calculus
- ✓ An Unrestricted Grammar
- ✓ A 2-register machine
- ✓ C
- ✓ ...

(Note: assumes suitably coded inputs and outputs)

## IS THERE MORE?



## LANGUAGES OF ACCEPTANCE

Which are semidecidable (by a TM)? Decidable?

- ✓  $A_{\text{DFA}} = \{ \langle D, w \rangle \mid D \text{ a DFA, } D \text{ accepts } w \}$
- ✓  $A_{\text{NFA}} = \{ \langle N, w \rangle \mid N \text{ an NFA, } N \text{ accepts } w \}$
- ✓  $A_{\text{RE}} = \{ \langle R, w \rangle \mid R \text{ a regexp, } R \text{ matches } w \}$
- ✓  $A_{\text{CFG}} = \{ \langle G, w \rangle \mid G \text{ a CFG, } G \text{ produces } w \}$
- ✓  $A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ a TM, } M \text{ accepts } w \}$

## DIGRESSION: BOOTSTRAPPING A COMPILER

Lots of compilers are written in the same language they compile!

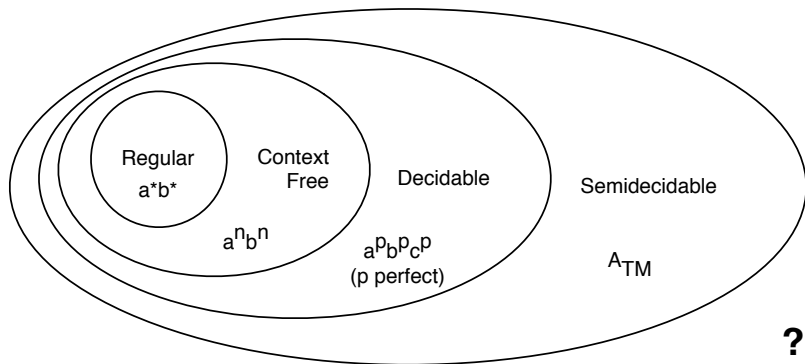
- ✓ Gnu C Compiler (used in CS 105) is written in C
- ✓ Glasgow Haskell Compiler (used in CS 131) is in Haskell
- ✓ etc.

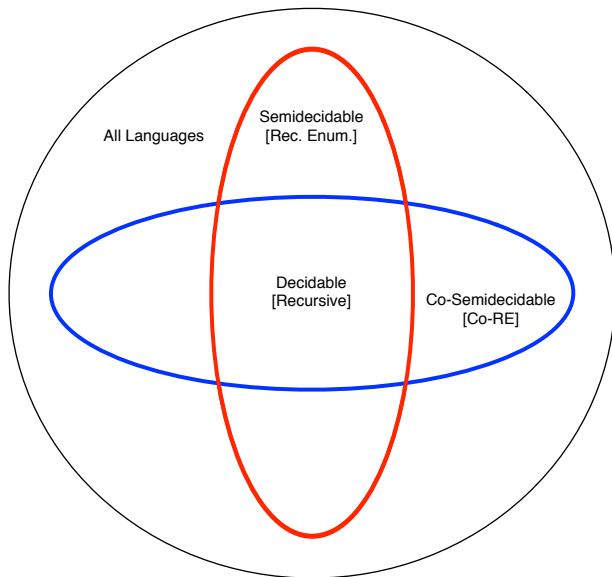
Practical reasons to run programs on their own source code!

$A_{TM}$  IS NOT DECIDABLE

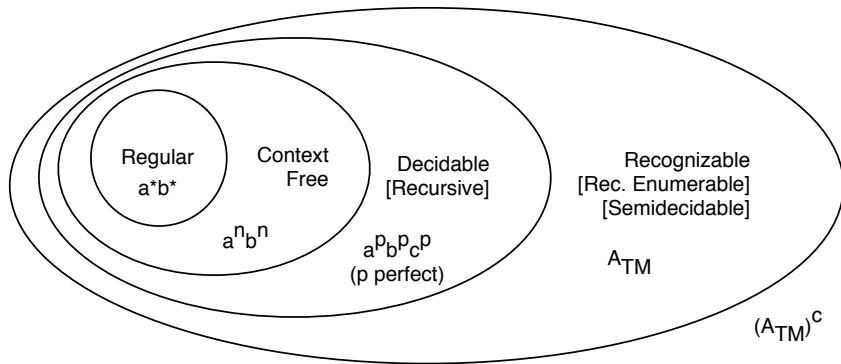
	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\dots$
$M_0$	Acc.		Acc.	Acc.		
$M_1$						
$M_2$	Acc.			Acc.		
$M_3$	Acc.	Acc.	Acc.	Acc.	Acc.	
$M_4$			Acc.	Acc.		

## IS THERE MORE?



WHAT IS THE COMPLEMENT OF  $A_{TM}$ ?

## WE'LL STOP HERE



## OBLIGATORY COROLLARY

Theorem

*The language*

$$H = \{ \langle M, w \rangle \mid M \text{ a TM, } M \text{ halts on } w \}$$

*is not decidable.*

Proof.

Suppose there were a halt-checking TM...



## UNDECIDABILITY, SO FAR

1. Acceptance for TMs is *semidecidable* but not *decidable*.

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ a TM, } M \text{ accepts } w \}$$

2. *Non-Acceptance* for TMs is not *semidecidable* (hence not *decidable*).
3. TM Halting is *semidecidable* but not *decidable*.

$$H = \{ \langle M, w \rangle \mid M \text{ a TM, } M \text{ halts on } w \}$$

## REDUCTIONS

Given problems  $P$  and  $Q$ , we say that

$$P \leq Q$$

if a solution to  $Q$  would let us solve  $P$  as well.

- ✓ I.e., ( $P$  is “not fundamentally more difficult”  $Q$ .)
- ✓ We say “ $P$  **reduces to**  $Q$ .”

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In Math, we often show we can solve a problem  $X$  by taking advantage of previously-solved problem  $Q$  (i.e., prove  $X \leq Q$ )

In Theocomp, we typically prove a problem  $X$  *hard* by showing a solution to  $X$  would also solve the hard problem  $P$  (i.e., prove  $P \leq X$ ).

## REDUCTIONS

To prove that  $P$  reduces to  $Q$  ( $P \leq Q$ ), it suffices to prove:

- ✓ If we have a solver for  $Q$ , then we can use it to solve any instance of  $P$ .
- ✓ I.e., show you could construct a  $P$ -solver if you could make calls to a  $Q$ -solving subroutine.

Commonly, we instead prove a “mapping reduction”:

- ✓ For every instance of  $P$ , we can construct an instance of  $Q$  with the same yes/no answer.

Why is this enough?

## WARNING

It is *easy* to get the reduction backwards!

These are essentially proof by contradiction:

- ✓ Assume the unknown problem  $X$  is decidable/semidecidable
- ✓ Show that it means that  $H$  (or  $A_{TM}$  or ...) is decidable/semidecidable.
- ✓ Contradiction.
- ✓ Therefore,  $X$  is not decidable/semidecidable.

If you ever find yourself *assuming* things known to be false (“assume I had a halt checker...then I could solve  $X$ ”) you’re doing the reduction wrong.

## REDUCTION PRACTICE

Show the following are not decidable (e.g., by reducing  $A_{TM}$  to each).

- ✓  $NE_{TM} := \{ \langle M \rangle \mid M \text{ accepts at least one } w \in \Sigma^* \}$
- ✓  $E_{TM} := \{ \langle M \rangle \mid L(M) = \emptyset \}$
- ✓  $ALL_{TM} := \{ \langle M \rangle \mid L(M) = \Sigma^* \}$
- ✓  $\text{Accepts-}s := \{ \langle M \rangle \mid M \text{ accepts } s \}$
- ✓  $\text{Regular} := \{ \langle M \rangle \mid L(M) \text{ is regular} \}$

## FUNCTIONAL VS. STRUCTURAL PROPERTIES

Structural questions (often, but not always, decidable):

- ✓ Does this TM have more than 500 control states?
- ✓ Does this TM ever use more than 300 cells of tape for some input?
- ✓ Does this TM ever write the symbol  $\mathbf{a}$ ?

Functional questions (rarely decidable):

- ✓ Does this TM accept the empty language?
- ✓ Does this TM accept a finite language?
- ✓ Do all the strings accepted by this TM have even length?
- ✓ In general:

Given a TM  $T$ , does  $L(T)$  have property  $P$ ?

Given a semidecidable  $L$ , does  $L$  have property  $P$ ?

## RICE'S THEOREM

No *functional* and *nontrivial* property of Turing Machines is decidable.

That is, no *nontrivial* property of recognizable languages is decidable.

## CONSEQUENCES?

*“That is, no nontrivial property of recognizable languages is decidable.”*

- ✓  $NE_{TM} := \{ \langle M \rangle \mid M \text{ accepts at least one } w \in \Sigma^* \}$
- ✓  $E_{TM} := \{ \langle M \rangle \mid L(M) = \emptyset \}$
- ✓  $ALL_{TM} := \{ \langle M \rangle \mid L(M) = \Sigma^* \}$
- ✓  $Accepts-s := \{ \langle M \rangle \mid M \text{ accepts } s \}$
- ✓  $Regular := \{ \langle M \rangle \mid L(M) \text{ is regular} \}$

## PROOF (SKETCH) OF RICE'S THEOREM

Setup: we have a nontrivial property  $P(L)$  of languages.

- ✓ WLOG,  $\neg P(\emptyset)$ .
- ✓ But *some* TM's language satisfies  $P$ . Pick a TM  $K$  such that  $P(L(K))$ .

Plan: find a mapping reduction from  $A_{TM}$  to  $P$ .

Given  $\langle M, w \rangle$ , construct  $M'$ , which does the following:

1. Take input  $x$ . Save it on an auxiliary tape.
2. Run  $M$  on  $w$ .
3. If  $M$  accepts  $w$ , run  $K$  on  $x$ . Otherwise, reject.

Claim:  $M$  accepts  $w$  if and only if  $L(M')$  has property  $P$ .

That is, if we had a  $P$ -checker, we could decide  $A_{TM}$ .

## LIMITATIONS OF RICE'S THEOREM

- ✓ It must be a functional property (i.e., expressible as a property about *languages*)
- ✓ It must be a nontrivial property among the languages accepted by TMs
- ✓ If so, the property is not *decidable*. But Rice's Theorem tells us nothing about whether the property is *semidecidable* or not.

## CS 70 GRADER PERMANENT EMPLOYMENT THEOREM

The language

$$EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid L(M_1) = L(M_2) \}$$

is not *decidable*.

The same holds for pairs of programs written in C++, Java, etc.

## FULL EMPLOYMENT THEOREM FOR COMPILER WRITERS

Theorem

*There is no perfect size-optimizing compiler.*

Proof.

Any program that infinite loops without output could be identified, as it would reduce to a single loop instruction:

```
L1:  jmp L1
```



## PERFECT GARBAGE COLLECTION IS UNDECIDABLE

Java, Python, Haskell, Scheme, etc., all rely on garbage collection to deallocate unused memory.

- ✓ At any point during execution, a piece of data is live if it will be used in the future, and otherwise dead or garbage.
- ✓ A garbage collector detects and deallocates garbage.
- ✓ Perfect garbage collection is undecidable.