## CS 181AI

## Lecture 9

## Locking

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## Last Time

- Metrics for performance and common ways to improve them
- Moore's Law: can't simply wait for better CPUs anymore; current trend: more parallelism
- Intro to parallelizing code


## Today: Parallelizing Code

- Races
- Deadlocks


## Races

- A race occurs when two or more processes try to access the same data and at least one of those accesses is a write
- Avoid races using locks, synchronization



## What are the possible values of the bank

 account?Class Account

```
amount = 20
```

withdraw:

$$
\text { amount -= } 5
$$

Order
Result

| R1 | W1 | R2 | W2 |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| R1 | R2 | W1 | W2 |  |
| R1 | R2 | W2 | W1 |  |
| R2 | W2 | R1 | W1 |  |
| R2 | R1 | W2 | W1 |  |
| R2 | R1 | W1 | W2 |  |

If withdraw is executed in parallel by two threads, what are the possible values of amount?

## Locks

- Simplest lock is "mutual exclusion" lock, or mutex
- Associated with a single variable
- When someone has the lock, nobody else can read or write the variable


## Mutex Lock

Class Account

$$
\text { amount }=20
$$

lock = Lock()
withdraw:
acquire lock
amount -= 5
release lock

## Mutex Lock

Class Account
amount $=20$
lock $=$ Lock()
withdraw:
acquire lock
amount -= 5
release lock
Important: "amount -=5" is both a read and a write. Why is it important that the lock is around both the read and the write?

## Critical Section

- Everything between the acquire and release is the critical section
// code
// acquire lock
// critical section
// release lock
// code
- Critical sections should have minimal overhead: efficient, fair, and simple


## Thread

- We'll get to these when we talk about GPUs!
- For now, take thread to mean something that runs processes in parallel


## Synchronization

- Mutex is great for resource control, but it doesn't specify the order in which things should happen


## Order of prints

- How do we make this print "I'm Thread 1" before "I'm Thread 2"? def p 1 :
print("I'm Thread 1")
def p2:
print("I'm Thread 2")
Create thread that calls p1
Create thread that call p2
Initialize the two threads // initializes them in unknown order


## Semaphore

- An unsigned integer: you set the value at the beginning and can never directly access the value again after that
- There are functions to increment and decrement by 1
- Decrement is potentially a blocking function - if decrementing would result in a negative number, the function waits until some other thread increments it
- When incrementing, if there is at least one thread waiting on the semaphore, exactly one thread will become unblocked


## Order of prints

- How do we make this print "I'm Thread 1" before "I'm Thread 2"? def p 1 :
print("I'm Thread 1")
def p2:
print("I'm Thread 2")
Create thread that calls p1
Create thread that call p2
Initialize the two threads // initializes them in unknown order


## Order of prints

- How do we make this print "I'm Thread 1" before "I'm Thread 2"?
def p 1 :

```
print("I'm Thread 1")
```

sem.increment()
def p2:
sem.decrement()
print("I'm Thread 2")

Initialize semaphore sem with value 0
Create thread that calls p1
Create thread that call p2
Initialize the two threads // initializes them in unknown order

## Barriers

- Point in the program where threads stop and wait for all threads to reach that point before proceeding
- Describe a situation where this might be useful (hint: think about operations over arrays or grids)


## Monitors \& Condition Variables

- Monitor - mutual exclusion
- Conditional variables - synchronization
- Conditional variables - wait and notify
- Wait: block myself and give up control of the lock (a queue is formed on this variable)
- Notify: causes next thread in that queue to be released so it can re-acquire the lock and keep running


## Deadlocks

- A deadlock occurs when none of the processes can make progress because there is a cycle in the resource requests



## Bank Account Example

- User A wants to transfer money from Account 1 to Account 2


## Bank Account Example

- User A wants to transfer money from Account 1 to Account 2
- User B wants to transfer money from Account 2 to Account 1

Next Time: GPUs and Parallelization

- All about GPUs!

